# High energy asymptotics and trace formulas for the perturbed harmonic oscillator

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#### Abstract

A one-dimensional quantum harmonic oscillator perturbed by a smooth compactly supported potential is considered. For the corresponding eigenvalues  $\lambda_n$ , a complete asymptotic expansion for large n is obtained, and the coefficients of this expansion are expressed in terms of the heat invariants. A sequence of trace formulas is obtained, expressing regularised sums of integer powers of eigenvalues  $\lambda_n$  in terms of the heat invariants.

Keywords: Harmonic oscillator, trace formulas, heat invariants, eigenvalue asymptotics.

#### 1 Introduction and main results

**1. Local heat invariants.** In order to state our main results, we need to recall the notion of *local heat invariants*. Let  $v \in C^{\infty}(\mathbb{R})$  be any real valued function such that v and all derivatives of v are uniformly bounded on  $\mathbb{R}$ . For the self-adjoint operator  $h = -\frac{d^2}{dx^2} + v$  in  $L^2(\mathbb{R}, dx)$ , consider its heat kernel  $e^{-th}(x, y)$ , t > 0,  $x, y \in \mathbb{R}$ , i.e. the integral kernel of the operator  $e^{-th}$ . For any  $x \in \mathbb{R}$ , one has the asymptotic expansion

$$e^{-th}(x,x) \sim \frac{1}{\sqrt{4\pi t}} \sum_{j=0}^{\infty} t^j a_j[v(x)], \quad t \to +0,$$
 (1.1)

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where  $a_j[v(x)]$  are polynomials in v and derivatives of v, known as the local heat invariants of h. Explicit formula for  $a_j[v(x)]$  is available:

$$a_{j}[v(x)] = \sum_{k=0}^{j-1} \frac{(-1)^{j} \Gamma(j+\frac{1}{2})}{4^{k} k! (k+j)! (j-k)! \Gamma(k+\frac{3}{2})} \left(-\frac{d^{2}}{dy^{2}} + v(y)\right)^{k+j} (|x-y|^{2k}) \mid_{y=x}.$$
(1.2)

Formula (1.2) was derived in [9] on the basis of the results of [11, 12]; see also references in [11] to earlier works on this subject. From (1.2) or otherwise, one obtains

$$a_0[v(x)] = 1, \quad a_1[v(x)] = -v(x), \quad a_2[v(x)] = \frac{1}{2}v^2(x) - \frac{1}{6}v''(x),$$
  
$$a_3[v(x)] = -\frac{1}{6}v^3 + \frac{1}{6}vv'' + \frac{1}{12}v'^2 - \frac{1}{60}v^{(4)}.$$

2. Perturbed harmonic oscillator. Consider the self-adjoint operators

$$H_0 = -\frac{d^2}{dx^2} + x^2$$
 and  $H = -\frac{d^2}{dx^2} + x^2 + q(x)$  in  $L^2(\mathbb{R}, dx)$ , where  $q \in C_0^{\infty}(\mathbb{R})$ .

The operators  $H_0$ , H are the closures of the symmetric operators, defined on  $C_0^{\infty}(\mathbb{R})$  by the same differential expressions. Denote by  $\lambda_n^0 = 2n-1$ ,  $n=1,2,\ldots$  the eigenvalues of  $H_0$  and by  $\lambda_1 < \lambda_2 < \cdots$  the eigenvalues of H. The aims of this paper are (i) to describe the asymptotic expansion of  $\lambda_n$  as  $n \to \infty$ , including explicit formulas for the coefficients of this expansion in terms of the local heat invariants; (ii) to derive a series of identities (trace formulas) which relate regularized sums of the type  $\sum_{n=1}^{\infty} \lambda_n$ ,  $\sum_{n=1}^{\infty} \lambda_n^2$ , etc. to some explicit integrals involving heat invariants. Our results are modelled on the Gel'fand-Levitan-Dikiĭ trace formulas for the Sturm-Liouville operator (see [7, 8, 3, 4] or [5]) and in part motivated by the recent advances in calculation of the heat invariants [11, 12].

First, as a preliminary result, we establish the asymptotic expansion

$$\operatorname{Tr}(e^{-tH} - e^{-tH_0}) \sim \frac{1}{\sqrt{4\pi t}} \sum_{j=1}^{\infty} t^j \int_{\mathbb{R}} (a_j[x^2 + q(x)] - a_j[x^2]) dx, \quad t \to +0, \quad (1.3)$$

where  $a_j$  are the local heat invariants. In formula (1.3) (as elsewhere in this paper)  $q \in C_0^{\infty}(\mathbb{R})$  and thus all the integrals in the r.h.s. converge. On the formal level, (1.3) follows by subtracting (1.1) with  $v(x) = x^2$  from (1.1) with  $v(x) = x^2 + q(x)$  and integrating over x. A rigourous justification of this formal procedure is not difficult and is given in Section 3.

3. High energy asymptotics. Suppose that q is given. Due to the explicit formula (1.2), we can regard the integrals appearing in the r.h.s. of (1.3) as known quantities. Below we describe the asymptotics of eigenvalues  $\lambda_n$  in terms of these integrals. Here is our main result:

**Theorem 1.1.** (i) One has the asymptotic expansion

$$\lambda_n \sim \lambda_n^0 + \sum_{j=1}^\infty \frac{c_j}{(\lambda_n^0)^{j/2}}, \quad n \to \infty,$$
 (1.4)

with some coefficients  $c_i \in \mathbb{R}$ .

(ii) The coefficients  $c_j$  in (1.4) can be calculated in the following way. Consider the formal asymptotic expansion

$$\lambda_n^0 \sim \lambda_n + \sum_{j=1}^\infty \frac{b_j}{(\lambda_n)^{j-\frac{1}{2}}}, \quad n \to \infty,$$
 (1.5)

with the coefficients

$$b_j = (\sqrt{\pi}\Gamma(\frac{3}{2} - j))^{-1} \int_{\mathbb{D}} (a_j[x^2 + q(x)] - a_j[x^2]) dx.$$
 (1.6)

Then inverting the formal asymptotic series (1.5) gives (1.4).

**Remark 1.2.** 1. Theorem 1.1 gives an algorithm of computing the 'unknown' coefficients  $c_j$  in the expansion (1.4) in terms of the 'known' integrals (1.6). The algorithm is given in the form of inverting an asymptotic series, which is a well defined algebraic procedure.

In order to compute a coefficient  $c_j$ , one needs to know finitely many coefficients  $b_j$ . For example,

$$c_1 = -b_1$$
,  $c_2 = 0$ ,  $c_3 = -b_2$ ,  $c_4 = -\frac{1}{2}b_1^2$ ,  $c_5 = -b_3$ ,  $c_6 = -2b_1b_2$ .

2. The fact that only half-integer (and not whole integer) negative powers of  $\lambda_n$  are present in the r.h.s. of (1.5) is equivalent to a series of identities for the coefficients  $c_j$ . For example, the first three identities of this type are

$$c_2 = 0$$
,  $c_1^2 + 2c_4 = 0$ ,  $c_6 + c_2^2 + 2c_1c_3 = 0$ .

3. From Theorem 1.1(ii) we obtain, in particular,

$$c_1 = \frac{1}{\pi} \int_{\mathbb{R}} q(x) dx, \quad c_2 = 0, \quad c_3 = \frac{1}{2\pi} \int_{\mathbb{R}} q(x) x^2 dx + \frac{1}{4\pi} \int q^2(x) dx,$$

$$c_4 = -\frac{1}{2} c_1^2, \quad c_5 = \frac{1}{8\pi} \int_{-\infty}^{\infty} (q^3(x) + 3q^2(x) x^2 + 3q(x) x^4 + \frac{1}{2} (q'(x))^2 - 2q(x)) dx.$$

**4. Trace formulas.** As a by-product of our construction, we also obtain trace formulas for the eigenvalues  $\lambda_n$  and  $\lambda_n^0$ . This result is a direct analogue of the trace formulas for the Sturm-Liouville problem due to [7, 8, 3, 4] and our proof follows the reasoning of [4]. Let us introduce the Zeta functions

$$Z(s) = \sum_{n=1}^{\infty} \lambda_n^{-s}, \quad Z_0(s) = \sum_{n=1}^{\infty} (\lambda_n^0)^{-s}, \quad \text{Re } s > 1.$$
 (1.7)

If  $\lambda_n < 0$  for some n, then  $\lambda_n^{-s}$  should be understood as  $|\lambda_n|^{-s}e^{-i\pi s}$ . If  $\lambda_n = 0$  for some n, then the corresponding term in the sum  $\sum_{n=1}^{\infty} \lambda_n^{-s}$  is omitted. Due to the explicit formula  $\lambda_n^0 = 2n - 1$ , we have  $Z_0(s) = (1 - 2^{-s})\zeta(s)$ ,

Due to the explicit formula  $\lambda_n^0 = 2n - 1$ , we have  $Z_0(s) = (1 - 2^{-s})\zeta(s)$ , where  $\zeta(s)$  is the Riemann Zeta function. By the properties of  $\zeta$ , we conclude that  $Z_0(s)$  has a meromorphic continuation into the whole complex plane with the only pole at s = 1; this pole is simple with residue 1/2. The real zeros of  $Z_0$  are at s = -2n,  $n = 0, 1, 2, \ldots$ 

**Theorem 1.3.** The function Z(s) admits meromorphic continuation into the whole complex plane. Its poles are simple and located at s=1 and at  $s=-\frac{1}{2}$ ,  $-\frac{3}{2}$ ,  $-\frac{5}{2}$ , .... We have the identities:

$$Z(-k) = Z_0(-k), \quad k \in \mathbb{N}. \tag{1.8}$$

As in [3, 4], formula (1.8) can be combined with the asymptotic expansion (1.4) to obtain regularised trace identities as follows. Exponentiating the asymptotics (1.4), we obtain for any Re s > 1:

$$\lambda_n^{-s} \sim \sum_{j=0}^{\infty} d_j(s) (\lambda_n^0)^{-s - (j/2)}, \quad n \to \infty,$$
 (1.9)

where  $d_i(s)$  are explicit polynomials in s and  $c_i$ . For example,

$$\begin{split} &d_0(s)=1,\quad d_1(s)=d_2(s)=0,\quad d_3(s)=-sc_1,\quad d_4(s)=-sc_2,\quad d_5(s)=-sc_3,\\ &d_6(s)=-sc_4+\frac{s(s+1)}{2}c_1^2,\quad d_7(s)=-sc_5+s(s+1)c_1c_2,\\ &d_8(s)=-sc_6+\frac{1}{2}s(s+1)c_2^2+s(s+1)c_1c_3. \end{split}$$

Using this notation, we have for any  $k \in \mathbb{N}$ :

$$Z(s) = \sum_{n=1}^{\infty} \{\lambda_n^{-s} - \sum_{j=0}^{2k+2} d_j(s)(\lambda_n^0)^{-s-(j/2)}\} + \sum_{j=0}^{2k+2} d_j(s)Z_0(s+(j/2)), \quad \text{Re } s > 1.$$
(1.10)

Now both sides of (1.10) can be meromorphically continued into the half-plane  $\operatorname{Re} s > -k - \frac{1}{2}$ . By Theorem 1.3, the l.h.s. of (1.10) is analytic at s = -k. By (1.9), the same applies to the first term in the r.h.s. of (1.10). Thus, the second term in the r.h.s. of (1.10) is also analytic at s = -k. As  $Z_0(s)$  has a pole at s = 1 (and no other poles), it follows that  $d_{2k+2}(-k) = 0$ . Thus, taking  $s \to -k$ , we obtain

$$Z(-k) = \sum_{n=1}^{\infty} \{\lambda_n^k - \sum_{j=0}^{2k+1} d_j(-k)(\lambda_n^0)^{k-(j/2)}\} + \sum_{j=0}^{2k+1} d_j(-k)Z_0(-k+(j/2)) + d'_{2k+2}(-k).$$

Combined with (1.8), this yields a series of formulas

$$\sum_{n=1}^{\infty} \left\{ \lambda_n^k - \sum_{j=0}^{2k+1} d_j(-k)(\lambda_n^0)^{k-(j/2)} \right\} + \sum_{j=1}^{2k+1} d_j(-k) Z_0(-k+(j/2)) + \frac{1}{2} d'_{2k+2}(-k) = 0,$$
(1.11)

for all  $k \in \mathbb{N}$ . In particular, for k = 1, 2, 3 we obtain (taking into account that  $Z_0(0) = 0$ )

$$\sum_{n=1}^{\infty} (\lambda_n - \lambda_n^0 - \frac{c_1}{\sqrt{\lambda_n^0}}) + c_1 Z_0(\frac{1}{2}) = 0;$$
 (1.12)

$$\sum_{n=1}^{\infty} (\lambda_n^2 - (\lambda_n^0)^2 - 2c_1\sqrt{\lambda_n^0} - \frac{2c_3}{\sqrt{\lambda_n^0}}) + 2c_1Z_0(-\frac{1}{2}) + 2c_3Z_0(\frac{1}{2}) - \frac{1}{2}c_1^2 = 0; \quad (1.13)$$

$$\sum_{n=1}^{\infty} \left( \lambda_n^3 - (\lambda_n^0)^3 - 3c_1(\lambda_n^0)^{3/2} - 3c_3(\lambda_n^0)^{1/2} - 3(c_4 + c_1^2) - 3c_5(\lambda_n^0)^{-1/2} \right)$$

$$+3c_1Z_0(-\frac{3}{2})+3c_3Z_0(-\frac{1}{2})+3c_5Z_0(\frac{1}{2})-\frac{1}{2}c_1c_3=0.$$

Of course, one can rearrange the above formulas, replacing asymptotic expansions in powers of  $\lambda_n^0$  by expansions in powers of n. For example, (1.12) is equivalent to

$$\sum_{n=1}^{\infty} (\lambda_n - \lambda_n^0 - \frac{c_1}{\sqrt{2n}}) + \frac{c_1}{\sqrt{2}} \zeta(\frac{1}{2}) = 0.$$
 (1.14)

Formula (1.14) in various equivalent forms appeared before in the literature. To the best of our knowledge, formulas (1.11) with  $k \ge 2$  are new. We have been informed that similar formulas are contained in [2], but we were unable to get hold of this text.

An interesting trace formula for a two dimensional harmonic oscillator has been derived in [6].

**Remark.** A formula involving a regularized sum  $\sum \lambda_n^2$  was suggested in [10, Theorem 1]. This formula appears to be very similar to (1.13). However, the formula of [10] is incorrect; indeed, it is easy to see that the series appearing in this formula does not converge.

## 2 Proof of Theorem 1.1(ii)

The proof of part (i) of Theorem 1.1 is fairly standard and is based on the asymptotic theory of solutions to ODEs and on various explicit formulas for parabolic cylinder functions (which give the solutions to the ODE corresponding to q=0). We give this proof in Sections 5-6. The proof of part (ii) of Theorem 1.1 is the core of our construction and is presented in this section. The proof is based on the following

**Lemma 2.1.** Let  $\lambda_n^0 = 2n - 1$ ,  $n \in \mathbb{N}$ , and let  $\lambda_1 < \lambda_2 < \cdots$  be a sequence of real numbers such that  $\lambda_n = \lambda_n^0 + O(1)$  as  $n \to \infty$ . Suppose that an asymptotic expansion

$$\lambda_n^0 \sim \lambda_n + \sum_{j=1}^{\infty} p_j \lambda_n^{-\alpha_j} + \sum_{j=1}^{\infty} q_j \lambda_n^{-j}, \quad n \to \infty,$$
 (2.1)

holds true, where  $0 \le \alpha_1 < \alpha_2 < \cdots$  are some non-integer exponents and  $\{p_j\} \subset \mathbb{R}$ ,  $\{q_j\} \subset \mathbb{R}$ . Then one has the asymptotic expansion

$$\sum_{n=1}^{\infty} e^{-t\lambda_n} \sim \frac{1}{2t} + \sum_j \frac{p_j}{2} \Gamma(1 - \alpha_j) t^{\alpha_j} + \frac{1}{2} \log t \sum_{j=1}^{\infty} q_j \frac{(-1)^j}{(j-1)!} t^j + \sum_{k=1}^{\infty} r_k t^k \quad (2.2)$$

as  $t \to +0$ , with some coefficients  $\{r_k\} \subset \mathbb{R}$ .

Proof of Theorem 1.1(ii). Given Lemma 2.1 and part (i) of Theorem 1.1, the proof of Theorem 1.1(ii) is immediate. Indeed, inverting the asymptotic expansion (1.4) yields the expansion of the form

$$\lambda_n^0 \sim \lambda_n + \sum_{j=1}^{\infty} b_j \lambda_n^{\frac{1}{2}-j} + \sum_{j=1}^{\infty} \widetilde{b}_j \lambda_n^{-j}, \quad n \to \infty$$

with some real coefficients  $\{b_j\}$ ,  $\{\tilde{b}_j\}$ . Now using Lemma 2.1 and the explicit formula  $\sum_{n=1}^{\infty} e^{-t\lambda_n^0} = (2\sinh t)^{-1}$ , we obtain the asymptotic expansion

$$\sum_{n=1}^{\infty} (e^{-t\lambda_n} - e^{-t\lambda_n^0}) \sim \frac{1}{\sqrt{t}} \sum_{j=1}^{\infty} \frac{b_j}{2} \Gamma(\frac{3}{2} - j) t^j + \frac{1}{2} \log t \sum_{j=1}^{\infty} \widetilde{b}_j \frac{(-1)^j}{(j-1)!} t^j + \sum_{k=1}^{\infty} \widetilde{r}_k t^k$$

with some real coefficients  $\{\tilde{r}_k\}$ . Comparing this to (1.3), we see that all coefficients  $\tilde{b}_i$  vanish and the coefficients  $b_j$  are related to the heat invariants by formulas (1.6). This completes the proof of Theorem 1.1(ii).

In the rest of this section, we prove Lemma 2.1. Broadly speaking, this Lemma can be regarded as a discrete analogue of the following version of Watson's Lemma:

**Lemma 2.2.** Let  $\psi : \mathbb{R} \to \mathbb{R}$  be a locally bounded measurable function, such that  $\psi(\lambda) = 0$  for all  $\lambda$  near  $-\infty$ . Suppose that  $\psi$  has the following asymptotic expansion

$$\psi(\lambda) = \sum_{j} p_{j} \lambda^{-\alpha_{j}} + \sum_{j} q_{j} \lambda^{-\beta_{j}} + O(\lambda^{-M}) \quad \lambda \to \infty,$$
 (2.3)

where  $\{\alpha_j\} \subset \mathbb{R} \setminus \mathbb{N}$ ,  $\{\beta_j\} \subset \mathbb{N}$ ,  $\{p_j\} \subset \mathbb{R}$ ,  $\{q_j\} \subset \mathbb{R}$  are finite sets and  $M > \max(\{\alpha_j\} \cup \{\beta_j\})$ ,  $M \in (0, \infty) \setminus \mathbb{N}$ . Then the following asymptotic formula for the

Laplace transform of  $\psi$  holds true for  $t \to +0$ :

$$\int_{-\infty}^{\infty} e^{-t\lambda} \psi(\lambda) d\lambda \sim \sum_{i} p_{i} \Gamma(1 - \alpha_{i}) t^{\alpha_{i} - 1} + (\log t) \sum_{j} q_{j} \frac{(-1)^{\beta_{j}}}{(\beta_{j} - 1)!} t^{\beta_{j} - 1} + \sum_{0 \leq k \leq M - 1} r_{k} t^{k} + O(t^{M - 1}) \quad (2.4)$$

with some coefficients  $\{r_k\}$ .

The proof can be performed, for example, by explicit computation, checking that each term in the asymptotics (2.3) gives the desired contribution to (2.4).

Proof of Lemma 2.1. 1. Let

$$N(\lambda) = \sharp \{n \mid \lambda_n < \lambda\}, \quad N_0(\lambda) = \sharp \{n \mid \lambda_n^0 < \lambda\}.$$

The main idea of the proof is to approximate  $N(\lambda)$  by  $N_0(\psi(\lambda))$ , where  $\psi$  is a function with the asymptotic expansion (2.1). We construct  $\psi$  in terms of its inverse as follows.

The formal inversion of the expansion (2.1) has the form

$$\lambda_n \sim \lambda_n^0 + \sum_{j=1}^\infty s_j(\lambda_n^0)^{-\eta_j}, \quad n \to \infty,$$
 (2.5)

where  $0 \le \eta_1 < \eta_2 < \dots$  and  $\{s_j\} \subset \mathbb{R}$ . Fix some sufficiently large  $M \in (0, \infty) \setminus \mathbb{N}$ ; we have

$$\lambda_n = \lambda_n^0 + \sum_{\eta_j < M} s_j (\lambda_n^0)^{-\eta_j} + O((\lambda_n^0)^{-M}), \quad n \to \infty.$$
 (2.6)

Let  $\phi \in C^{\infty}(\mathbb{R})$  be such that

- (i)  $\phi(\lambda) \ge 0$  for all  $\lambda \in \mathbb{R}$  and  $\phi(\lambda) = 0$  for all  $\lambda \le 1$ ;
- (ii)  $\phi(\lambda)$  is strictly increasing for  $\lambda > 1$ ; (iii)  $\phi(\lambda) = \lambda + \sum_{\eta_j < M} s_j \lambda^{-\eta_j}$  for all sufficiently large  $\lambda > 0$ .

Let  $\psi \in C^{\infty}(0,\infty)$  be such that  $\phi(\psi(\lambda)) = \lambda \ \forall \lambda > 0$ . Finally, for  $\lambda > 0$  let us write  $N_0(\lambda) = \frac{1}{2}\lambda + \omega(\lambda)$ , where  $\omega(\lambda)$  is a 2-periodic function.

With this notation we have:

$$\sum_{n=1}^{\infty} e^{-t\lambda_n} = \int_{-\infty}^{\infty} e^{-t\lambda} dN(\lambda) = t \int_{-\infty}^{\infty} e^{-t\lambda} N(\lambda) d\lambda$$

$$= t \int_{-\infty}^{\infty} e^{-t\lambda} \left( N(\lambda) - N_0(\psi(\lambda)) \right) d\lambda + \frac{1}{2} t \int_{0}^{\infty} e^{-t\lambda} \psi(\lambda) d\lambda + t \int_{0}^{\infty} e^{-t\lambda} \omega(\psi(\lambda)) d\lambda$$

$$=: F_1(t) + F_2(t) + F_3(t).$$

Below we consider separately the integrals  $F_1(t)$ ,  $F_2(t)$  and  $F_3(t)$ .

2. Consider  $F_2(t)$ . By the construction of  $\psi$ , we have the asymptotics

$$\psi(\lambda) = \lambda + \sum_{\alpha_j < M} p_j \lambda^{-\alpha_j} + \sum_{j < M} q_j \lambda^{-j} + O(\lambda^{-M}) \quad \lambda \to \infty$$

with the same exponents and coefficients as in (2.1). By Lemma 2.2, we obtain:

$$F_2(t) \sim \frac{1}{2t} + \sum_{\alpha_j < M} \frac{p_j}{2} \Gamma(1 - \alpha_j) t^{\alpha_j} + \frac{1}{2} (\log t) \sum_{j < M} q_j \frac{(-1)^j}{(j-1)!} t^j + \sum_{0 < k < M} r_k t^k + O(t^M),$$

$$(2.7)$$

as  $t \to +0$ .

3. Consider  $F_1(t)$ . By the construction of  $\phi$ , we have  $\lambda_n = \phi(\lambda_n^0) + O(n^{-M})$ ,  $n \to \infty$ , and so

$$F_1(t) = \sum_{n=1}^{\infty} (e^{-t\lambda_n} - e^{-t\phi(\lambda_n^0)}) = \sum_{n=1}^{\infty} e^{-t\lambda_n} (1 - e^{tO(n^{-M})}).$$

It follows that  $F_1(t)$  has at least [M]-1 continuous derivatives in t on  $[0,\infty)$  and therefore, by the Taylor formula,

$$F_1(t) = \sum_{0 \le k < [M] - 1} F_1^{(k)}(0)t^k + o(t^{[M] - 1}), \quad t \to +0.$$
 (2.8)

4. Let us prove that  $F_3$  has continuous derivatives in  $t \in [0, \infty)$  of any order, and so

$$F_3(t) \sim \sum_{k=0}^{\infty} F_3^{(k)}(0)t^k, \quad t \to +0.$$
 (2.9)

Fix  $N \in \mathbb{N}$ . Integrating by parts N times, we obtain

$$F_3(t) = t \int_0^\infty e^{-t\lambda} \omega(\psi(\lambda)) d\lambda = t \int_0^\infty e^{-t\phi(\mu)} \phi'(\mu) \omega(\mu) d\mu$$
$$= -\int_0^\infty (e^{-t\phi(\mu)})' \omega(\mu) d\mu = (-1)^{N+1} \int_0^\infty (e^{-t\phi(\mu)})^{(N+1)} \omega_N(\mu) d\mu,$$

where  $\omega_N$  is a periodic function. Using the property (iii) of  $\phi$ , we obtain

$$(e^{-t\phi(\mu)})^{(N+1)} = e^{-t\phi(\mu)} \{ (-t)^{N+1} (\phi'(\mu))^{N+1} + \sum_{l=0}^{N} t^l O(\lambda^{l-N-2-\eta_1}) \}.$$

It follows that  $F_3$  has at least N-1 continuous derivatives on  $[0,\infty)$ . As  $N \in \mathbb{N}$  can be taken arbitrary large, this proves the statement.

5. Combining (2.7) – (2.9), and using the fact that M can be taken arbitrary large, we get the desired statement.

### **3** Proof of the asymptotic expansion (1.3)

1. Asymptotic expansion (1.1). First let us prove that for any bounded from below function  $v \in C^{\infty}(\mathbb{R})$ , the asymptotic expansion (1.1), (1.2) holds true locally uniformly in  $x \in \mathbb{R}$ . The expansion (1.1) as such is well known, but all treatments of this expansion in the literature that we are aware of, assume boundedness of v, whereas here we have to deal with potentials of the type  $x^2+v(x)$ . Below is a simple argument which shows that the boundedness from above condition can be lifted. Let us fix any R > 0 and prove that (1.1) holds true uniformly in  $x \in [-R, R]$ .

Let  $\tilde{v} \in C_0^{\infty}(\mathbb{R})$  be such that  $\tilde{v}(x) = v(x)$  for all  $|x| \leq 4R$ , and let  $\tilde{h} = -\frac{d^2}{dx^2} + \tilde{v}$ . The heat kernel expansion for  $C_0^{\infty}$ -potentials is certainly well known (see e.g. [9] and references to earlier work therein), and so we have

$$e^{-t\tilde{h}}(x,x) \sim \frac{1}{\sqrt{4\pi t}} \sum_{j=0}^{\infty} t^j a_j[v(x)], \quad t \to +0, \quad |x| \leqslant R,$$

uniformly in  $x \in [-R, R]$ . Thus, it suffices to prove the estimate

$$\sup_{|x| \le R} |e^{-t\tilde{h}}(x,x) - e^{-th}(x,x)| = O(e^{-c/t}), \quad t \to +0, \quad c > 0.$$
 (3.1)

Let  $\chi_R$  be the characteristic function of (-R,R) in  $\mathbb{R}$ , and let  $\phi \in C_0^{\infty}(\mathbb{R})$  be such that  $\phi(x) = 1$  for  $|x| \leq 2R$  and  $\phi(x) = 0$  for  $|x| \geq 3R$ . Denoting  $D = \frac{d}{dx}$ , we obtain

$$\begin{split} \chi_{R}(e^{-th}-e^{-t\tilde{h}})\chi_{R} &= \chi_{R}(e^{-th}\phi-\phi e^{-t\tilde{h}})\chi_{R} = -\int_{0}^{t}ds\,\chi_{R}e^{-(t-s)h}(h\phi-\phi\tilde{h})e^{-s\tilde{h}}\chi_{R} \\ &= \int_{0}^{t}ds\,\chi_{R}e^{-(t-s)h}\phi''e^{-s\tilde{h}}\chi_{R} + \int_{0}^{t}ds\,\chi_{R}e^{-(t-s)h}\phi'De^{-s\tilde{h}}\chi_{R} \\ &= \int_{0}^{t}ds\,\chi_{R}e^{-(t-s)h}\phi''e^{-s\tilde{h}}\chi_{R} + \int_{0}^{t}ds\,\chi_{R}e^{-(t-s)h}\phi'De^{sD^{2}}\chi_{R} \\ &- \int_{0}^{t}ds\int_{0}^{s}ds_{1}\,\chi_{R}e^{-(t-s)h}\phi'De^{(s-s_{1})D^{2}}\tilde{v}e^{-s_{1}\tilde{h}}\chi_{R}. \end{split}$$

From here, using the explicit formula for the heat kernel  $e^{t\frac{d^2}{dx^2}}$  and the well known estimate

$$|e^{-th}(x,y)| \le \frac{1}{\sqrt{4\pi t}} \exp(-\frac{(x-y)^2}{4t} - t \inf_{\mathbb{R}} v), \quad x, y \in \mathbb{R},$$
 (3.2)

we obtain (3.1).

**2. Asymptotic expansion** (1.3). Now we are ready to prove the asymptotic expansion (1.3). Let R > 0 be sufficiently large so that supp  $q \subset (-R, R)$ . Let  $\chi_{2R}$  be the characteristic function of (-2R, 2R) and let  $\tilde{\chi}_{2R} = 1 - \chi_{2R}$ . By the previous step of the proof, it suffices to prove that

$$\operatorname{Tr}(\tilde{\chi}_{2R}(e^{-tH} - e^{-tH_0})\tilde{\chi}_{2R}) = O(e^{-c/t}), \quad t \to +0, \quad c > 0.$$
 (3.3)

By (3.2), we obtain

$$\|\tilde{\chi}_{2R}e^{-tH}\chi_{R}\|_{S_{2}} = O(e^{-c/t}), \quad t \to +0, \quad c > 0;$$
  
 $\|\chi_{R}e^{-tH_{0}}\tilde{\chi}_{2R}\|_{S_{2}} = O(e^{-c/t}), \quad t \to +0, \quad c > 0.$ 

From these estimates and the formula

$$\tilde{\chi}_{2R}(e^{-tH} - e^{-tH_0})\tilde{\chi}_{2R} = -\int_0^t \tilde{\chi}_{2R}e^{-(t-s)H}\chi_R \, q \, \chi_R e^{-sH_0}\tilde{\chi}_{2R} \, ds$$

we get the required result (3.3).

#### 4 Proof of Theorem 1.3

We follow the arguments of [4]. First let us assume that  $\lambda_n \neq 0$  for all n. Fix  $k \in \mathbb{N}$  and consider formula (1.10). The second term in the r.h.s. is meromorphic in  $\mathbb{C}$  with possible poles at  $s = 1 - \frac{j}{2}, j = 0, 1, 2, \dots, 2k + 2$ . The first term in the r.h.s. of (1.10) admits analytic continuation into the half-plane  $\text{Re } s > -k - \frac{1}{2}$ . As k can be taken arbitrary large, it follows that Z admits a meromorphic continuation into the whole complex plane, all poles of Z are simple and located at the points  $s = 1 - \frac{j}{2}, j = 0, 1, 2, \dots$ 

Next, from the formula

$$e^{-t\lambda_n} = \frac{1}{2\pi i} \int_{\gamma - i\infty}^{\gamma + i\infty} (t\lambda_n)^{-s} \Gamma(s) ds, \quad \gamma > 0, \quad (t\lambda_n) \in \mathbb{R} \setminus \{0\},$$

we get

$$\sum_{n=1}^{\infty} (e^{-t\lambda_n} - e^{-t\lambda_n^0}) = \frac{1}{2\pi i} \int_{\gamma - i\infty}^{\gamma + i\infty} (Z(s) - Z_0(s)) t^{-s} \Gamma(s) ds, \quad t > 0, \quad \gamma > 0.$$

By a standard argument involving shifting the contour of integration to the left, the last formula yields the following asymptotic expansion as  $t \to +0$ :

$$\sum_{n=1}^{\infty} (e^{-t\lambda_n} - e^{-t\lambda_n^0}) \sim \sum_{j=0}^{\infty} \underset{s=1-(j/2)}{\text{Res}} ((Z(s) - Z_0(s))t^{-s}\Gamma(s)), \quad t \to +0.$$
 (4.1)

First note that the function  $Z(s) - Z_0(s)$  does not have poles at any of the points  $s = 0, -1, -2, \ldots$  Indeed, if  $Z(s) - Z_0(s)$  did have a pole at s = -n say, then  $(Z(s) - Z_0(s))\Gamma(s)$  would have a double pole there and then the expansion (4.1) would involve a term  $Ct^n \log t$ . But by (1.3), no logarithmic terms actually occur in the asymptotic expansion.

Next, by (1.3), there are no integer powers of t in the asymptotic expansion, which by the same argument leads to the conclusion that  $Z(-k) - Z_0(-k) = 0$  for all  $k = 0, 1, 2, \ldots$ 

Finally, consider the case when one of the eigenvalues of H vanishes:  $\lambda_m=0$ . Then the preceding arguments should be repeated for the sequence  $\{\lambda_n\}$ ,  $n\in\mathbb{N}\setminus\{m\}$ . This leads to the same set of results, apart from the formula Z(0)=0; this should be replaced by Z(0)=-1.

### 5 Proof of Theorem 1.1(i)

Let us define two solutions  $\psi_{\pm}^0 = \psi_{\pm}^0(x,\lambda)$  of the equation  $-\psi'' + x^2\psi = \lambda\psi$  by

$$\psi^0_+(x,\lambda)=U(-\frac{\lambda}{2},x\sqrt{2}),\quad \psi^0_-(x,\lambda)=U(-\frac{\lambda}{2},-x\sqrt{2}),$$

where U is the parabolic cylinder function (see [1, §19.3]). For any  $x \in \mathbb{R}$ , the solutions  $\psi_{\pm}^{0}(x,\lambda)$  are entire functions of  $\lambda$ . For any  $\lambda \in \mathbb{C}$ , the solutions  $\psi_{\pm}^{0}(x,\lambda)$  have the asymptotics

$$\psi_{+}^{0}(x,\lambda) = \psi_{-}^{0}(-x,\lambda) = (x\sqrt{2})^{(\lambda-1)/2}e^{-x^{2}/2}(1+o(1)), \quad x \to +\infty,$$

and the Wronskian  $w_0(\lambda) = W(\psi_-^0, \psi_+^0) = (\psi_-^0)_x' \psi_+^0 - \psi_-^0 (\psi_+^0)_x'$  is given by

$$w_0(\lambda) = \frac{2\sqrt{\pi}}{\Gamma(\frac{1-\lambda}{2})} = \frac{2}{\sqrt{\pi}}\Gamma(\frac{1+\lambda}{2})\cos(\frac{\pi\lambda}{2}). \tag{5.1}$$

At the eigenvalues  $\lambda_n^0 = 2n - 1$ , the Wronskian  $w_0(\lambda)$  vanishes and we have

$$\psi_{+}^{0}(x,\lambda_{n}^{0}) = (-1)^{n+1}\psi_{-}^{0}(x,\lambda_{n}^{0}) = 2^{-(n-1)/2}e^{-x^{2}/2}H_{n-1}(x), \tag{5.2}$$

where  $H_n$  is the *n*'th Hermite polynomial.

Next, let  $\psi_{\pm} = \psi_{\pm}(x, \lambda)$  be the solutions of the equation  $-\psi'' + (x^2 + q(x))\psi = \lambda \psi$ , normalised by

$$\psi_{+}(x,\lambda) = \psi_{+}^{0}(x,\lambda), \quad x > \sup \operatorname{supp} q,$$
  
$$\psi_{-}(x,\lambda) = \psi_{-}^{0}(x,\lambda), \quad x < \operatorname{inf supp} q.$$

The eigenvalues  $\lambda_n$  coincide with the zeros of the Wronskian  $w(\lambda) = W(\psi_-, \psi_+)$ . In Section 6 we prove the following Lemma, which describes the asymptotics of  $w(\lambda)$  as  $\text{Re }\lambda \to +\infty$ . Let  $\Omega$  be the half-strip

$$\Omega = \{ \lambda \in \mathbb{C} \mid \operatorname{Re} \lambda \geqslant 0, |\operatorname{Im} \lambda| \leqslant 1 \},$$

for  $\lambda \in \Omega$  let us denote by  $\sqrt{\lambda}$  the principal branch of the square root, so that  $\operatorname{Re} \sqrt{\lambda} \geqslant 0$ .

**Lemma 5.1.** The Wronskian  $w(\lambda)$  is analytic in  $\lambda \in \Omega$ . The following asymptotic expansion holds true:

$$w(\lambda) \sim \frac{2}{\sqrt{\pi}} \Gamma(\frac{1+\lambda}{2}) \left( \cos(\frac{\pi\lambda}{2}) \sum_{j=0}^{\infty} \frac{Q_j}{(\sqrt{\lambda})^j} + \sin(\frac{\pi\lambda}{2}) \sum_{j=0}^{\infty} \frac{P_j}{(\sqrt{\lambda})^j} \right), \tag{5.3}$$

as  $|\lambda| \to \infty$ ,  $\lambda \in \Omega$ . Here  $Q_j, P_j \in \mathbb{C}$  are some coefficients,  $Q_0 = 1$ ,  $P_0 = 0$ .

Given Lemma 5.1, we can prove Theorem 1.1(i) as follows. Fix any sufficiently small  $\varepsilon > 0$ , denote  $B_{n,\varepsilon} = \{z \mid |z - \lambda_n^0| \le \varepsilon\}$ , and let  $\Gamma_{n,\varepsilon}$  be the contour  $\partial B_{n,\varepsilon}$  oriented anti-clockwise. By Rouche's Theorem combined with a simple continuity argument, we obtain that  $\lambda_n \in B_{n,\varepsilon}$  for all sufficiently large n. Next, the zeros of w in the half-strip  $\Omega$  coincide with the zeros of

$$\widetilde{w}(\lambda) = \frac{\sqrt{\pi}w(\lambda)}{2\Gamma(\frac{1+\lambda}{2})}.$$

It follows that for all sufficiently large n we have

$$\lambda_n = \frac{1}{2\pi i} \int_{\Gamma_n} \lambda \frac{\widetilde{w}'(\lambda)}{\widetilde{w}(\lambda)} d\lambda. \tag{5.4}$$

By analyticity of w, the asymptotic expansion (5.3) can be differentiated. Thus, we obtain the following asymptotic expansion for  $\lambda \in \Gamma_{n,\varepsilon}$ ,  $n \to \infty$ :

$$\frac{\widetilde{w}'(\lambda)}{\widetilde{w}(\lambda)} = -\frac{\pi}{2} \tan \frac{\pi \lambda}{2} + \frac{1}{\sqrt{\lambda}} g_0(\lambda) + \frac{1}{\lambda \sqrt{\lambda}} g_1(\lambda) \tan \frac{\pi \lambda}{2} + \sum_{m=2}^{\infty} (\tan \frac{\pi \lambda}{2})^m (\sqrt{\lambda})^{-m+1} g_m(\lambda), \quad (5.5)$$

where the functions  $g_m(\lambda)$  are analytic in  $\lambda \in \Omega$  and have the asymptotic expansions

$$g_m(\lambda) \sim \sum_{k=0}^{\infty} c_{mk} (\sqrt{\lambda})^{-k}, \quad |\lambda| \to \infty, \quad \lambda \in \Omega.$$
 (5.6)

Substituting the expansions (5.5) and (5.6) into (5.4) and computing the integrals of the type  $\int_{\Gamma_n} (\tan \frac{\pi \lambda}{2})^m \lambda^j d\lambda$ , we arrive at the expansion (1.4).

#### 6 Proof of Lemma 5.1

Let  $x > \sup \sup q$ ; then

$$w(\lambda) = (\psi_{-}(x,\lambda))_{x}' \psi_{+}^{0}(x,\lambda) - \psi_{-}(x,\lambda) (\psi_{+}^{0}(x,\lambda))_{x}'. \tag{6.1}$$

We will use this formula and construct  $\psi_{-}$  in a standard way as a solution to the integral equation

$$\psi_{-}(x,\lambda) = \psi_{-}^{0}(x,\lambda) + \int_{-\infty}^{x} G_{\lambda}(x,y)q(y)\psi_{-}(y,\lambda)dy, \tag{6.2}$$

where the integral kernel  $G_{\lambda}(x,y)$  is given by

$$G_{\lambda}(x,y) = -\frac{1}{w_0(\lambda)} (\psi_+^0(x,\lambda)\psi_-^0(y,\lambda) - \psi_-^0(x,\lambda)\psi_+^0(y,\lambda)). \tag{6.3}$$

The kernel  $G_{\lambda}(x,y)$  is an entire function of  $\lambda$  due to the analyticity of  $\psi_{\pm}^{0}(x,\lambda)$  and the relation (5.2).

Let R>0 be sufficiently large so that supp  $q\subset (-R,R)$ . Denote  $\Delta=[-2R,2R]$ ; let  $L_{\lambda}:C(\Delta)\to C(\Delta)$  be the Volterra type integral operator from (6.2),

$$L_{\lambda}: f(x) \mapsto \int_{-2R}^{x} G_{\lambda}(x, y) q(y) f(y) dy.$$

Then the solution of the integral equation (6.2) can be written as

$$\psi_{-} = \sum_{n=0}^{\infty} L_{\lambda}^{n} \psi_{-}^{0},$$

and so for the Wronskian (6.1) we have the series representation

$$w(\lambda) = \sum_{n=0}^{\infty} W(L_{\lambda}^{n} \psi_{-}^{0}, \psi_{+}^{0})(x), \quad x \in (R, 2R).$$

**Lemma 6.1.** For any  $n \in \mathbb{N}$  and  $x \in (R, 2R)$ , the Wronskian  $W(L^n_{\lambda}\psi^0_-, \psi^0_+)(x)$  is analytic in  $\lambda \in \Omega$  and one has the estimate

$$|W(L_{\lambda}^{n}\psi_{-}^{0},\psi_{+}^{0})(x)| \leqslant \frac{C(\lambda)^{n}}{n!}|\Gamma(\frac{1+\lambda}{2})|, \quad C(\lambda) = O(|\lambda|^{-1/2}), \quad |\lambda| \to \infty, \lambda \in \Omega.$$
(6.4)

The asymptotic expansion

$$W(L_{\lambda}^{n}\psi_{-}^{0}, \psi_{+}^{0})(x) \sim \Gamma(\frac{1+\lambda}{2}) \left(\cos(\frac{\pi\lambda}{2}) \sum_{j=n}^{\infty} \frac{Q_{j}^{(n)}}{(\sqrt{\lambda})^{j}} + \sin(\frac{\pi\lambda}{2}) \sum_{j=n}^{\infty} \frac{P_{j}^{(n)}}{(\sqrt{\lambda})^{j}}\right), \quad (6.5)$$

with some coefficients  $Q_j^{(n)}$ ,  $P_j^{(n)}$  holds true as  $|\lambda| \to \infty$ ,  $\lambda \in \Omega$ .

Clearly, Lemma 5.1 follows from Lemma 6.1.

*Proof of Lemma 6.1:* 1. It is convenient to introduce two linear combinations  $e_+$  and  $e_-$  of of the solutions  $\psi_+^0$ :

$$e_{+}(x,\lambda) = \frac{\sqrt{\pi}2^{(1-\lambda)/4}}{\cos(\frac{\pi\lambda}{2})\Gamma(\frac{1+\lambda}{4})} \left(e^{-i\pi(\lambda+1)/4}\psi_{+}^{0}(x,\lambda) + e^{i\pi(\lambda+1)/4}\psi_{-}^{0}(x,\lambda)\right),\,$$

 $e_{-}(x,\lambda) = e_{+}(-x,\lambda)$ . The solutions  $e_{\pm}(x,\lambda)$  are analytic in  $\lambda \in \Omega$  (with removeable singularities at  $\lambda_n^0$  — see (5.2)). These solutions are chosen so that they satisfy the following asymptotic expansions:

$$e_{\pm}(x,\lambda) \sim e^{\pm i\sqrt{\lambda}x} \left( 1 + \sum_{j=1}^{\infty} \frac{R_j^{\pm}(x)}{(\sqrt{\lambda})^j} \right), \quad \lambda \to \infty, \quad \lambda \in \Omega,$$
 (6.6)

$$(e_{\pm}(x,\lambda))_x' \sim e^{\pm i\sqrt{\lambda}x} \left(\pm i\sqrt{\lambda} + \sum_{j=0}^{\infty} \frac{\widetilde{R}_j^{\pm}(x)}{(\sqrt{\lambda})^j}\right), \quad \lambda \to \infty, \quad \lambda \in \Omega,$$
 (6.7)

where  $R_j^{\pm}$ ,  $\widetilde{R}_j^{\pm}$  are polynomials in x. The expansion (6.6) follows directly from the formulas 19.9.4, 19.9.5, 19.4.2 of [1], and (6.7) is obtained by application of the recurrence formulas [1, §19.6].

2. Let us first prove the bound (6.4). We have

$$W(L_{\lambda}^{n}\psi_{-}^{0},\psi_{+}^{0})(x) = (L_{\lambda}^{n}\psi_{-}^{0}(x,\lambda))'_{x}\psi_{+}^{0}(x,\lambda) - L_{\lambda}^{n}\psi_{-}^{0}(x,\lambda)(\psi_{+}^{0}(x,\lambda))'_{x};$$
(6.8)

let us obtain appropriate bounds for each term in the r.h.s. of (6.8). Expressing  $\psi^0_+$  in terms of  $e_\pm$ ,

$$\psi_{\pm}^{0}(x,\lambda) = \frac{1}{2\sqrt{\pi}i} 2^{(\lambda-1)/4} \Gamma(\frac{1+\lambda}{4}) \left( e^{i\pi(\lambda+1)/4} e_{\mp}(x,\lambda) - e^{-i\pi(\lambda+1)/4} e_{\pm}(x,\lambda) \right), \tag{6.9}$$

and using (6.6), (6.7), we obtain

$$\|\psi_{\pm}^{0}(\cdot,\lambda)\|_{C(\Delta)} = O(|2^{\lambda/4}\Gamma(\frac{1+\lambda}{4})|), \quad |\lambda| \to \infty, \quad \lambda \in \Omega, \tag{6.10}$$

$$\|(\psi_{\pm}^{0}(\cdot,\lambda))_{x}^{\prime}\|_{C(\Delta)} = O(|\lambda^{1/2}2^{\lambda/4}\Gamma(\frac{1+\lambda}{4})|), \quad |\lambda| \to \infty, \quad \lambda \in \Omega.$$
 (6.11)

Next, expressing the kernel  $G_{\lambda}(x,y)$  in terms of  $e_{\pm}$ ,

$$G_{\lambda}(x,y) = \frac{1}{4i} \frac{\Gamma(\frac{1+\lambda}{4})}{\Gamma(\frac{3+\lambda}{4})} (e_{+}(x,\lambda)e_{-}(y,\lambda) - e_{-}(x,\lambda)e_{+}(y,\lambda)), \tag{6.12}$$

and using the asymptotics (6.6), we obtain

$$\sup_{|x| \leqslant R} \sup_{|y| \leqslant R} |G_{\lambda}(x,y)| = O(|\lambda|^{-1/2}), \quad |\lambda| \to \infty, \quad \lambda \in \Omega.$$

Using this estimate and the fact that  $L_{\lambda}$  is a Volterra type operator, we obtain

$$||L_{\lambda}^{n}||_{C(\Delta)\to C(\Delta)} \leqslant \frac{C(\lambda)^{n}}{n!}, \quad C(\lambda) = O(|\lambda|^{-1/2}), \quad |\lambda| \to \infty, \quad \lambda \in \Omega.$$
 (6.13)

Finally, in order to estimate the term  $(L^n_{\lambda}\psi^0_-)'_x$ , let us introduce the operator  $L'_{\lambda}: C(\Delta) \to C(\Delta)$  by

$$L'_{\lambda}: f(x) \mapsto \int_{-R}^{x} \frac{\partial G_{\lambda}(x,y)}{\partial x} q(y) f(y) dy.$$

Then  $(L^n_\lambda\psi^0_-(x,\lambda))'_x=L'_\lambda L^{n-1}_\lambda\psi^0_-(x,\lambda)$ . Using the asymptotics (6.6), (6.7), we obtain

$$||L_{\lambda}'||_{C(\Delta) \to C(\Delta)} = O(1), \quad |\lambda| \to \infty, \quad \lambda \in \Omega.$$
 (6.14)

Combining (6.8), (6.10)–(6.14), we obtain (6.4).

3. Let us prove the asymptotic expansion (6.5). Using (6.9), we obtain

$$\begin{split} W(L_{\lambda}^{n}\psi_{-}^{0},\psi_{+}^{0}) &= \frac{1}{4\pi}2^{(\lambda-1)/2}\Gamma(\frac{1+\lambda}{4})^{2} \big(W(L_{\lambda}^{n}e_{+},e_{+}) + W(L_{\lambda}^{n}e_{-},e_{-}) \\ &- e^{-i\pi(1+\lambda)/2}W(L_{\lambda}^{n}e_{-},e_{+}) - e^{i\pi(1+\lambda)/2}W(L_{\lambda}^{n}e_{+},e_{-}) \big) \end{split}$$

Denote

$$g_n^{\pm}(x,\lambda) = \frac{L_{\lambda}^n e_{\pm}(x,\lambda)}{e_{\pm}(x,\lambda)}; \tag{6.15}$$

by (6.6), the denominator does not vanish for all sufficiently large  $\lambda$ . Using this notation, we obtain

$$W(L_{\lambda}^{n}\psi_{-}^{0}, \psi_{+}^{0}) = -\frac{i}{\sqrt{\pi}}\Gamma(\frac{1+\lambda}{2})(e^{i\pi(1+\lambda)/2}g_{n}^{+} - e^{-i\pi(1+\lambda)/2}g_{n}^{-}) + (g_{n}^{+}(x,\lambda))_{x}'O(|\Gamma(\frac{1+\lambda}{2})|) + (g_{n}^{-}(x,\lambda))_{x}'O(|\Gamma(\frac{1+\lambda}{2})|).$$

It suffices to show that  $g_n^{\pm}$  have the asymptotic expansions

$$g_n^{\pm}(x,\lambda) \sim \sum_{j=n}^{\infty} \frac{S_j^{\pm}(x)}{(\sqrt{\lambda})^j}, \quad |\lambda| \to \infty, \quad \lambda \in \Omega$$
 (6.16)

for some coefficients  $S_i^{\pm} \in C(\mathbb{R})$ , and that for any  $x \in (R, 2R)$ ,

$$(g_n^{\pm}(x,\lambda))_x' = O(|\lambda|^{-\infty}), \quad |\lambda| \to \infty, \quad \lambda \in \Omega.$$
 (6.17)

By the definition of  $g_n^{\pm}$ , we have

$$g_{n+1}^{\pm}(x,\lambda) = \int_{-R}^{x} \frac{G_{\lambda}(x,y)}{e_{\pm}(x,\lambda)} g_{n}^{\pm}(y,\lambda) e_{\pm}(y,\lambda) q(y) dy.$$
 (6.18)

Using this formula, the expression (6.12) for  $G_{\lambda}(x,y)$  and the asymptotics (6.6), the expansion (6.16) can be easily proven by induction. The asymptotics (6.17) follows by differentiation of (6.18).

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