Probabilistic Weyl laws for quantized tori

The Brian Davies 65th Birthday Conference

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University of Missouri and UC Berkeley

December 9, 2009

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But the proof is different, hopefully simpler...

$$\mathbb{T}=S^1_x\times S^1_\xi$$

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To a function $f \in C^{\infty}(\mathbb{T})$ we will associate a family of $N \times N$ matrices, f_N ,

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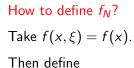
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$$\{f,g\} = \partial_{\xi}f\partial_{x}g - \partial_{x}f\partial_{\xi}g.$$

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Then define

$$f_N = \begin{bmatrix} f(0) & 0 & \cdots & 0 \\ 0 & f(1/N) & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & f((N-1)/N) \end{bmatrix}$$

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which is just a discretization of f.

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$$\mathcal{F}_{N}(k,\ell) = \frac{\exp(2\pi i k \ell/N)}{\sqrt{N}}.$$

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$$\operatorname{tr} g_N = N \int_{\mathbb{T}} g + \mathcal{O}(N^{-\infty}),$$

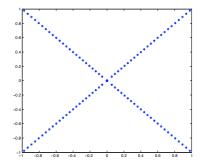
for $g \in C^{\infty}(\mathbb{T}^n)$.

$$f(x,\xi) = \cos 2\pi x + i \cos 2\pi \xi \,.$$

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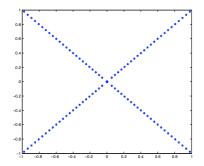
 $\operatorname{Spec}\left(f_{100}\right):$



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The spectrum is very unstable and its structure is related to the analytic continuation of f.

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The semiclassical parameter is still

$$h=rac{1}{2\pi N}\,,$$

in the sense that

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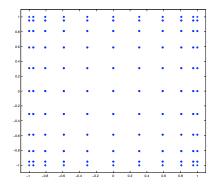
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And it works the same for \mathbb{T}^n ...

 $F(x_1, x_2, \xi_1, \xi_2) = \cos 2\pi x_1 + i \cos 2\pi \xi_2.$

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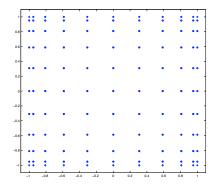
 $\operatorname{Spec}\left(F_{20}\right):$



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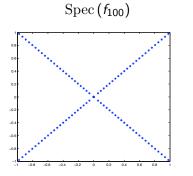
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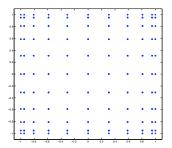


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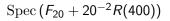


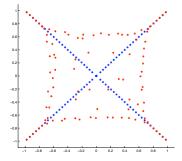


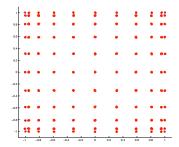


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Spec
$$(f_{100} + 100^{-2}R(100))$$

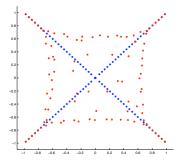




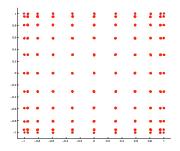


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Then there exist $u_N \in \ell^2(\mathbb{Z}_N)$, $||u_N||_{\ell^2} = 1$, microlocalized to (x_0, ξ_0) such that

$$\|(f_N-z_0)u_N\|=\mathcal{O}(N^{-\infty}).$$

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When f is real analytic $\mathcal{O}(N^{-\infty})$ can be replaced by $e^{-N/C}$. In both cases, theorem states that z_0 is "almost" an eigenvalue. What does it mean to be microlocalized to (x_0, ξ_0) ?

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$$g \in C^{\infty}(\mathbb{T}), \ g \equiv 0 \text{ near } (x_0, \xi_0) \implies \|g_N u_N\|_{\ell^2} = \mathcal{O}(N^{-\infty}).$$

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$$\partial^{lpha}_{\mathsf{x},\xi}\mathsf{a} = \mathcal{O}(1)\,, \ \ orall\, lpha \in \mathbb{N}^{2n}$$

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$$\partial_{x,\xi}^{\alpha} a = \mathcal{O}(1), \quad \forall \, \alpha \in \mathbb{N}^{2n}$$

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$$a \longmapsto a^{w}(x, hD) : \mathcal{S}(\mathbb{R}^{n}) \to \mathcal{S}(\mathbb{R}^{n})$$
$$(x, hD) u = -\frac{1}{2} \int \int a \left(x + y \right) a^{\frac{1}{2}(x - y,\xi)} u(u) du$$

$$a^w(x,hD)u=rac{1}{(2\pi h)^n}\int\int a\left(rac{x+y}{2},\xi
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Here $u \in \mathcal{S}(\mathbb{R}^n)$ if $x^{\beta} \partial^{\alpha} u = \mathcal{O}(1)$.

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 $a \longmapsto a^{w}(x, hD) : \mathcal{S}'(\mathbb{R}^{n}) \to \mathcal{S}'(\mathbb{R}^{n})$

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Here \mathcal{S}' is the dual of \mathcal{S} .

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What is the connection with the previous quantization? A function $f \in C^{\infty}(\mathbb{T})$ can be identified with a periodic function on $\mathbb{R} \times \mathbb{R}$. What about states u_N ?

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$$\mathcal{F}_h u(\xi + 2\pi k) = \mathcal{F}_h u(\xi), \quad k \in \mathbb{Z},$$

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$$a_N = a^w(x, hD)$$
, acting on this space

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Spec
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Theorem

Let $R_N(\omega)$ be random $N^n \times N^n$ matrices with complex N(0,1) i.i.d. entries and let $f \in C^{\infty}(\mathbb{T}^n)$.

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$$\beta = \frac{\kappa - 1}{\kappa + 1} \,.$$

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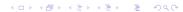
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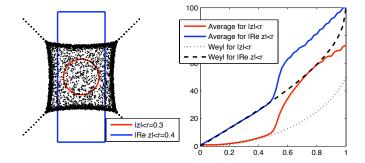
This means that $\operatorname{Spec}(f_N + N^{-\rho}R_N(\omega))$, unlike $\operatorname{Spec}(f_N)$, displays a probabilistic Weyl law for the eigenvalues.

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$$f(x,\xi) = \cos 2\pi x + i \cos 2\pi \xi$$
, $N = 100$.

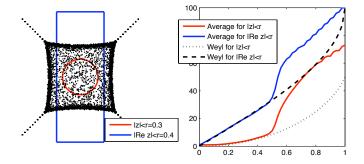


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The left figure is from Embree-Trefethen.

$$\Omega = \Omega_r = \{ |z| < r \}, \quad f(x,\xi) = \cos 2\pi x + i \cos 2\pi \xi.$$

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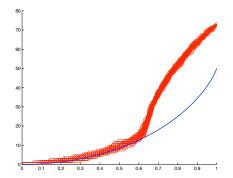
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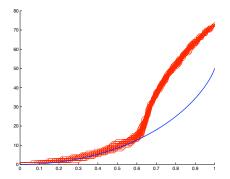
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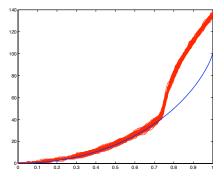


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blue line: $N \operatorname{vol}_{\mathbb{T}} (f^{-1}(\Omega_r))$, red lines: $|\operatorname{Spec} (f_N + N^{-2}R_N(\omega)) \cap \Omega_r|$.

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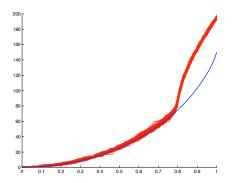
N = 200



blue line: $N \operatorname{vol}_{\mathbb{T}} (f^{-1}(\Omega_r))$, red lines: $|\operatorname{Spec} (f_N + N^{-2}R_N(\omega)) \cap \Omega_r|$.

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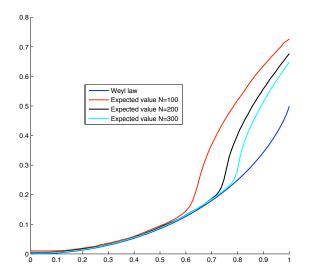
N = 300



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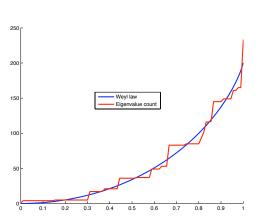
 $\frac{1}{N}\mathbb{E}_{\omega}\left(\left|\operatorname{Spec}\left(f_{N}+N^{-p}R_{N}(\omega)\right)\cap\Omega\right|\right)$



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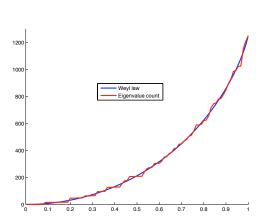


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$$F(x,\xi) = \cos 2\pi x_1 + i \cos 2\pi \xi_2, \quad N = 20$$

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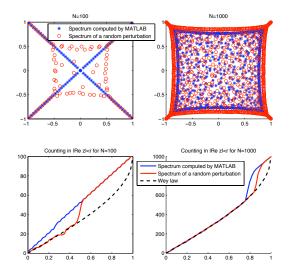
$$F(x,\xi) = \cos 2\pi x_1 + i \cos 2\pi \xi_2 \,, \quad N = 50$$

blue line: $N \operatorname{vol}_{\mathbb{T}^2} (F^{-1}(\Omega_r))$, red lines: $|\operatorname{Spec}(F_N) \cap \Omega_r|$.

Interestingly the false eigenvalues computed by MATLAB also satisfy this Weyl law:

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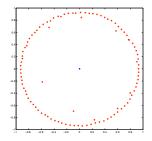
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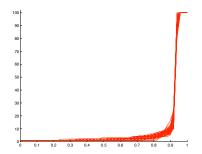
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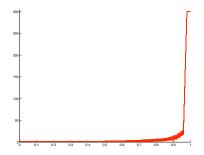
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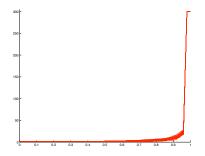
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N = 300



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This is in agreement with the results of Davies-Hager and seems to hold for more general Toeplitz operators even though the theorem in the current form does not apply (Bordeaux Montrieux).

$$f(x,N) = \begin{cases} 0 & x \leq 7/2N \\ 1 & x > 7/2N \end{cases}$$

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Then $f_N g_N$ is a (slightly truncated) $N \times N$ Toeplitz matrix.

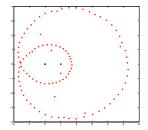
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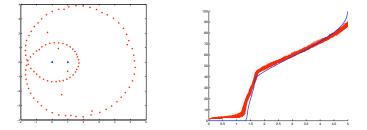
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Remarks:

- Condition (1) appears in Sjöstrand-Hager with $\kappa > 0$.
- If $df \wedge d\bar{f}_{\uparrow f^{-1}(z)} \neq 0$ then it holds with $\kappa = 2$.
- For analytic functions function it always holds with some
 κ > 0: a version of a Łojasiewicz inequality (via resolutions of
 singularities by Bierstone-Milman and other analytic
 geometers).

$\operatorname{vol}_{\mathbb{T}^n}(\{\rho \ : \ |f(\rho)-z|\leq t\})\leq t^\kappa\,, \ \ 0\leq t\ll 1\,,$ (1)

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The weaker assumption (1) allows z to belong to the boundary points of $f(\mathbb{T}^n)$ at which necessarily $df \wedge d\bar{f}|_{f^{-1}(z)} = 0$.

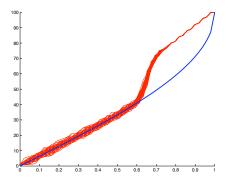
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We can think of f as a map from \mathbb{T}^n to \mathbb{R}^2 and the condition $df \wedge d\bar{f}|_{f^{-1}(z)} \neq 0$ means that z is a *regular value* of f. Hence by the Morse-Sard Theorem, the set of z's at which $df \wedge d\bar{f}|_{f^{-1}(z)} \neq 0$ holds has full Lebesgue measure in \mathbb{C} .

$$\Omega = \Omega_r = \{ |\operatorname{Re} z| < r \}, \ f(x,\xi) = \cos 2\pi x + i \cos 2\pi \xi.$$

N = 100



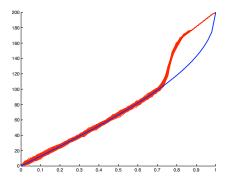
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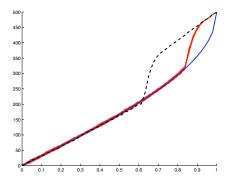


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N = 500



Here we added one more plot: numerically computed eigenvalues of f_{500} : the Weyl law appears for the numerically computed false eigenvalues! "Proof of Theorem"

$$|\operatorname{Spec}(f_N) \cap \Omega| = \frac{1}{2\pi i} \int_{\partial \Omega} \operatorname{tr}(f_N - z)^{-1} dz$$

$$=" N^n \frac{1}{2\pi i} \int_{\partial\Omega} \int_{\mathbb{T}^n} (f(\rho) - z)^{-1} d\mathcal{L}(\rho) dz + o(N^n)$$

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$$= N^n \mathrm{vol}_{\mathbb{T}^n}(f^{-1}(\Omega)) + o(N^n).$$

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$$\operatorname{tr} g_N = N^n \int_{\mathbb{T}^n} g + \mathcal{O}(N^{-\infty}),$$

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for a nice function $g \in C^{\infty}(\mathbb{T}^n)$.

A random perturbation allows this argument to go through on the level of expected values.

We use the singular value decomposition of f_N to obtain a reduction to a nicer family of operators.

$$f_N = U_N S_N V_N^* \,,$$

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$$(f_N + \alpha \psi (f_N f_N^* / \alpha^2) U_N V_N^*)^{-1} = \mathcal{O}(1/\alpha) : \ell^2 \longrightarrow \ell^2,$$

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This is obvious once we note that

$$\psi(f_N f_N^*/\alpha^2) U_N V_N^* = U_N \psi((S_N/\alpha)^2) V_N^*$$

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Suppose $0 \in \partial \Omega$ and γ is a small segment of $\partial \Omega$ around 0, $|\gamma| \simeq \alpha$, $|z| \ll \alpha$. Assume that $\delta \ll 1/N^3$ and $\delta \ll \alpha$. Then

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$$\begin{split} &\int_{\gamma} \mathbb{E}_{\omega} \operatorname{tr}(f_{N} + \delta R_{N}(\omega) - z)^{-1} dz = \\ &\int_{\gamma} \mathbb{E}_{\omega} \operatorname{tr}(f_{N} + \alpha \psi(f_{N} f_{N}^{*} / \alpha^{2}) U_{N} V_{N}^{*} + \delta R_{N}(\omega) - z)^{-1} dz + \mathcal{O}\left(d \log\left(\frac{\alpha}{\delta}\right)\right) \\ &= \int_{\gamma} \operatorname{tr}(f_{N} + \alpha \psi(f_{N} f_{N}^{*} / \alpha^{2}) U_{N} V_{N}^{*} - z)^{-1} + \mathcal{O}\left(\frac{\delta}{\alpha} N^{n} + d \log\left(\frac{\alpha}{\delta}\right)\right), \end{split}$$

where

$$d = \operatorname{rank} \psi \left(rac{f_N f_N^*}{lpha^2}
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$$\int_0^1 \left| \mathbb{E}_{\omega}(\operatorname{tr}(tA + \delta R_d(\omega))^{-1}A) \right| dt \leq C \operatorname{tr}\left(\frac{|A|}{\delta + |A|} \log\left(1 + \frac{|A|}{\delta} \right) \right) \,,$$

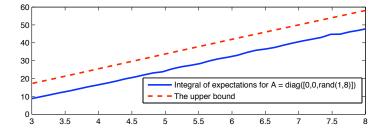
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$$f_N + \alpha \psi (f_N f_N^* / \alpha^2) U_N V_N^*.$$

We now work in an α size neighbourhood, γ , in $\partial\Omega$, of a point on $\partial\Omega$ (0 for convenience)

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The problem is that $f_N + \alpha \psi (f_N f_N^* / \alpha^2) U_N V_N^*$ is not a microlocally characterized operator,

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$$\operatorname{tr} f_N^* (f_N f_N^* + \alpha^2 \psi (f_N f_N^* / \alpha^2))^{-1}$$

which is a pseudodifferential operator in a slightly exotic class (similar to the one appearing in Hager-Sjöstrand 2008).

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 $\mathbb{E}_{\omega}\left(\left|\operatorname{Spec}\left(f_{N}+N^{-p}R_{N}(\omega)\right)\cap\Omega\right|\right)=$

Summing up over γ 's covering $\partial \Omega$ and putting together all the error terms we get, for p > p(n),

$$\mathbb{E}_{\omega}\left(\left|\operatorname{Spec}\left(f_{N}+N^{-p}R_{N}(\omega)\right)\cap\Omega\right|\right)=$$

$$\frac{1}{2\pi i}\int_{\partial\Omega}\mathbb{E}_{\omega}\operatorname{tr}(f_{N}+N^{-p}R_{N}(\omega)-z)^{-1}dz=$$

$$\frac{1}{2\pi i}\int_{\partial\Omega}N^n\int_{\mathbb{T}^n}\frac{d\mathcal{L}(\rho)}{f(\rho)-z}dz+o(N^n)=$$

$$N^n \int_{\mathbb{T}^n} \frac{1}{2\pi i} \int_{\partial \Omega} \frac{dz}{f(\rho) - z} d\mathcal{L}(\rho) + o(N^n)$$

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 $= N^n \mathrm{vol}_{\mathbb{T}^n}(f^{-1}(\Omega)) + o(N^n).$