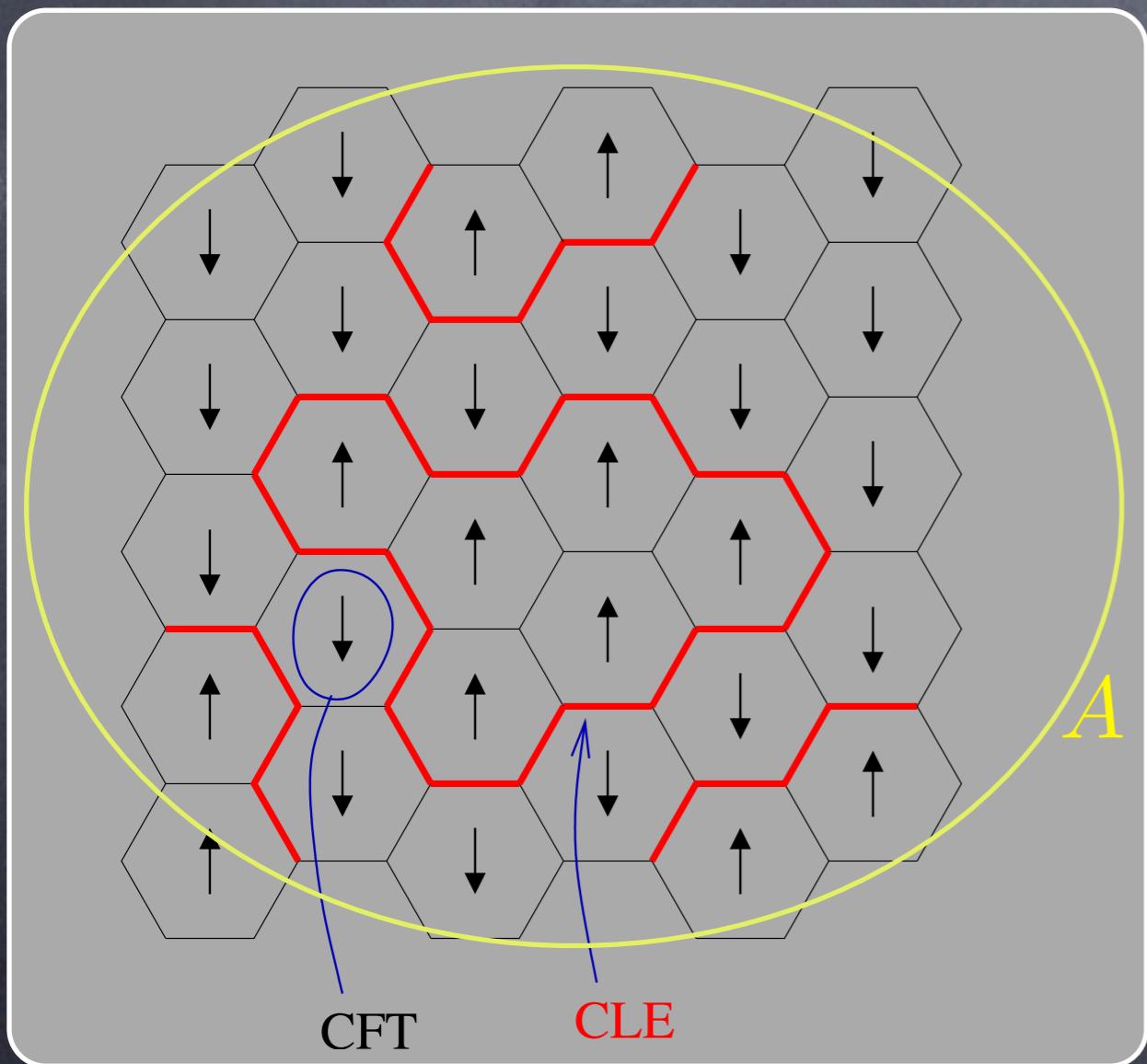


# Conformal restriction, conformal geometry and vertex algebras

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Commun. Math. Phys. 268 (2006) 687-716 with V. Riva and J. Cardy  
J. Phys. A 45 (2012) 315202  
Lett. Math. Phys. 103 (2013) 233-284  
arXiv:1110.1507  
arXiv:1209.4860

# Scaling limit of statistical models: CFT



Example:  $O(n)$  model

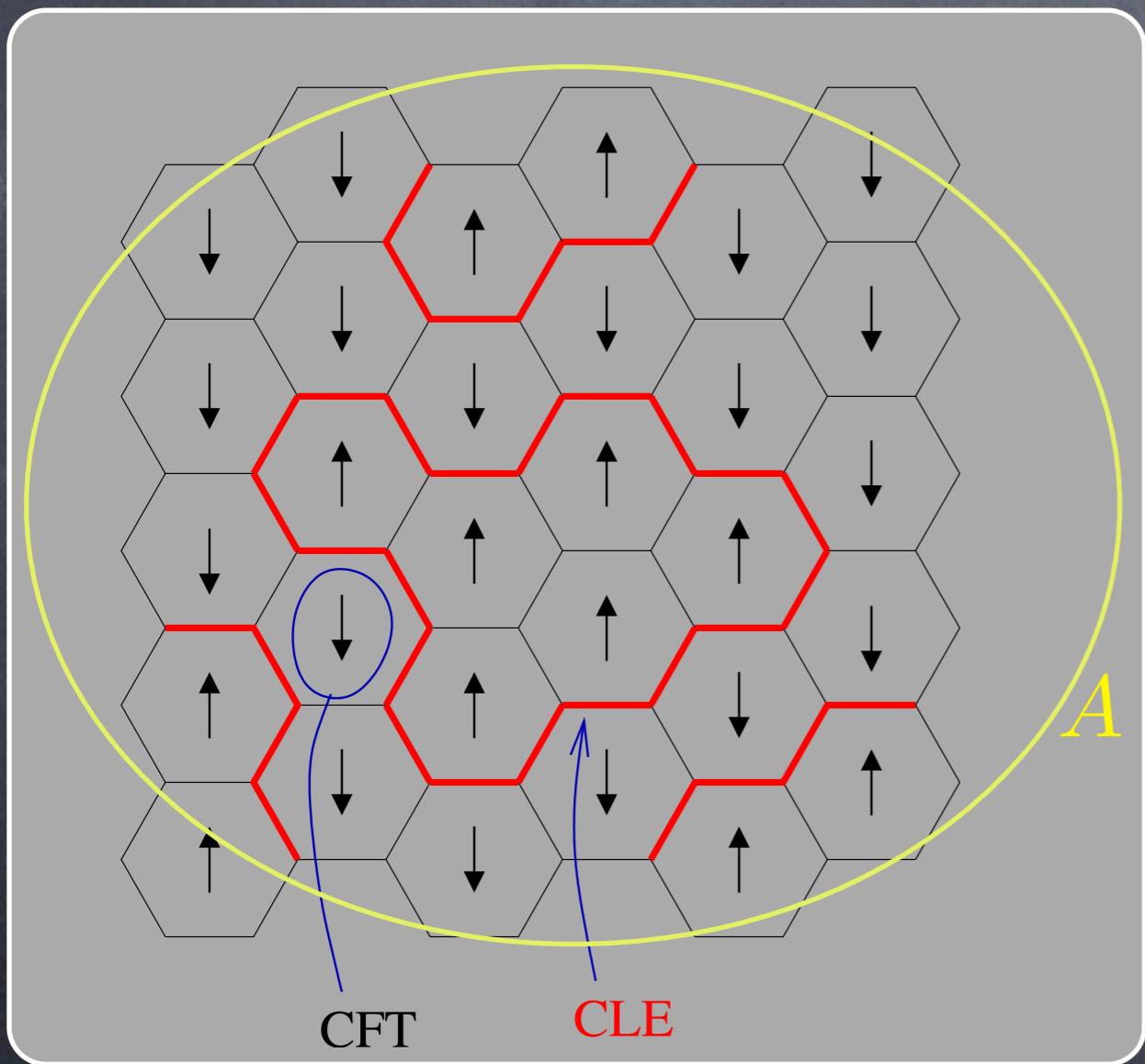
$$\mu = x_c(n)^{\text{length}} n^{\#\text{loops}}$$

Scaling limit:  
CFT correlation functions

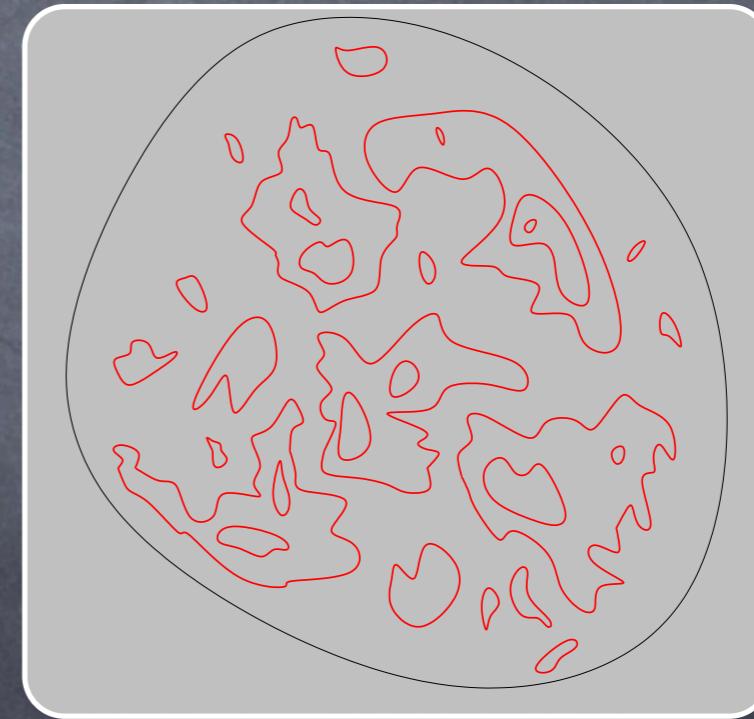
$$\lim_{r \rightarrow \infty} r^{kd} \mathbf{E}_{rA}(\sigma_{z_1r} \cdots \sigma_{z_kr}) = \\ \langle \sigma(z_1) \cdots \sigma(z_k) \rangle_A$$

existence and conformal property proof for Ising case:  
Chelkak, Hongler, Izzyurov 2013

# Scaling limit of statistical models: CLE



Scaling limit:  
conformal loop ensembles



CLE: Lawler, Sheffield, Werner 2006-  
Scaling limit proof for Ising case: Smirnov, ... 2006-



CFT: central charge  $c = c(n) \in [0, 1]$

CLE: Hausdorff dimension of loops  $\delta = \delta(n) \in [4/3, 7/4]$

$$c = \frac{(7 - 4\delta)(3\delta - 4)}{\delta - 1}$$

Bauer, Bernard, Beffara, Cardy,  
Nienhuis, Schramm, Rhodes, Lawler,  
Sheffield, Werner

CFT: powerful algebraic structures giving critical exponents and correlation functions (e.g. null-vector equations from Virasoro algebra representations)

construct CFT algebraic structures from CLE concepts?

# The Virasoro vertex operator algebra

Borcherds, Frenkel, Huang, Kac, Lepowsky, Meurman, ...

Belavin, Polyakov, Zamolodchikov, ...

1984-

## Virasoro algebra

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{c}{12}(m^3 - m)\delta_{m+n,0}$$

Identity module: a particular Verma module  $V$

$$L_m \mathbf{1} = 0 \quad (m \geq -1)$$

$$V = \text{span}\left(L_{\mathbf{m}_j} \mathbf{1} : j \geq 0, m_k \leq -2 \ (k = 1, \dots, j)\right)$$

$$L_{\mathbf{m}_j} = L_{m_j} \cdots L_{m_1}$$

Vertex operator map (defines infinitely-many products)

$$Y(\cdot, x) : V \mapsto \text{End}(V)[[x, x^{-1}]]$$

## Recursive definition of the vertex operator map

$$Y(\mathbf{1}, x) = 1$$

$$Y(L_m \mathbf{1}, x) = \frac{1}{(-2 - m)!} \frac{d^{-2-m}}{dx^{-2-m}} \sum_{n \in \mathbb{Z}} L_n x^{-n-2} \quad (m \leq -2)$$

$$Y(L_{\mathbf{m}_j} \mathbf{1}, x) = : Y(L_{m_j} \mathbf{1}, x) Y(L_{\mathbf{m}_{j-1}} \mathbf{1}, x) :$$

involving the normal-ordered product

$$: Y(L_m \mathbf{1}, x) A : = Y^+(L_m \mathbf{1}, x) A + A Y^-(L_m \mathbf{1}, x)$$

↓                      ↓  
nonnegative powers    negative powers

Satisfies the main relations of vertex operator algebras

Commutativity

$\forall v, w : \exists k :$

$$(x - y)^k Y(v, x) Y(w, y) = (x - y)^k Y(w, y) Y(v, x)$$

Associativity

$\forall u, w : \exists l \mid \forall v :$

$$(x + y)^l Y(u, x + y) Y(v, y) w = (x + y)^l Y(Y(u, x)v, y) w$$

## A relation with CFT correlation functions: inner product

$$(\cdot, \cdot) : (1, 1) = 1, \quad L_n^\dagger = L_{-n}$$

$$\langle v_1(x_1) \cdots v_n(x_n) \rangle_{\mathbb{C}} = \iota_{|x_1| > \dots > |x_n|} (1, Y(v_1, x_1) \cdots Y(v_n, x_n) 1)$$

convergent series in this region:  
change to complex variables

- The stress-energy tensor:

$$T(x) \leftrightarrow Y(L_{-2}1, x)$$

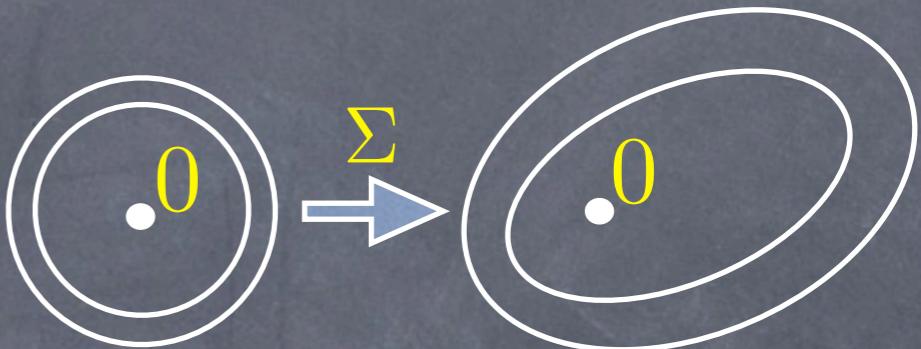
- The operator product expansion:

$$\text{OPE of } u(x+y)v(y) \leftrightarrow Y(Y(u, x)v, y)$$

# Vertex operators and conformal maps

D. 2010-

$\Sigma \in \{ \text{conformal maps on annulus that keep } 0 / \text{infinity in inner / outer holes and preserve orientation} \}$



Derivative wrt small conformal deformations (Lie derivatives):

$$\Delta_{m,w} f(\Sigma) := \left( \frac{\partial}{\partial \eta} f(g_\eta \circ \Sigma) \Big|_{\eta=0} \right)_{\text{hol.}}, \quad g_\eta(z) = z - \eta(z-w)^{m+1}$$

Assume existence of a particular function satisfying

$$\Delta_{-2,w} \log Z(\Sigma) = (\partial g(w))^2 (\Delta_{-2,g(w)} \log Z)(g \circ \Sigma) + \frac{c}{12} \{g, w\}$$

Schwartzian derivative

Virasoro representation:

$$L_\ell \mapsto Z^{-1} \Delta_{\ell,0} Z \quad (\ell \leq -2), \quad \Delta_{\ell,0} \quad (\ell \geq -1)$$

Vertex operator algebra representation:

$$Y(L_{\mathbf{m}_j} \mathbf{1}, x) \mapsto Z^{-1} \Delta_{m_j, x} \cdots \Delta_{m_1, x} Z$$

Highest weight vector, logarithmic vector, ...:

$$f(\Sigma) = r_\Sigma^\nu \quad r_\Sigma = \text{Conformal radius wrt } 0 \text{ of } \Sigma(\partial\mathbb{D})$$

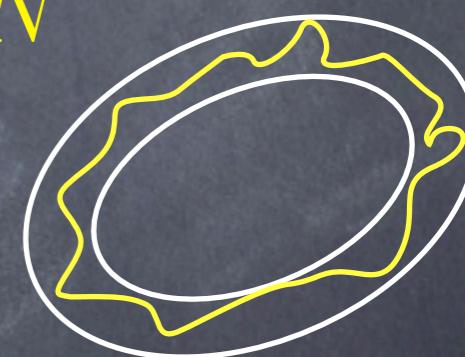
$$f(\Sigma) = r_\Sigma^\nu \log r_\Sigma$$

Conformal Ward identities, Cardy's boundary condition, any domain...

$$\langle T(w)\phi(z_1) \cdots \phi(z_n) \rangle = Z^{-1} \Delta_{-2,w} Z \langle \phi(z_1) \cdots \phi(z_n) \rangle$$

# CLE

(here «dilute» regime)

- Random variables  $X$  with concept of support: where we need the loop information to know the variable
- Indicator variable  $I(N)$  that a loop lies and winds in an annular region (tubular neighborhood)  $N$ 
- Expectation value functionals  $E[\cdot]_A$  on domain  $A$
- Conformal invariance
- A certain conformal restriction

# conformal restriction systems

D. 2012

Supported unital algebra

$X \in \mathfrak{X}$ ,  $\text{Supp}(X) = \text{set of closed subsets of } \hat{\mathbb{C}}$

$\mathfrak{X}^{(A)}$  : subalgebra supported in  $A$

Set of linear functionals

$\mathbf{E}[\cdot]_A : \mathfrak{X}^{(A)} \rightarrow \mathbb{C}$ ,  $\mathbf{E}[1] = 1$

Linear representation of groupoid of conformal maps

$\mathfrak{X}^{(A)} \rightarrow \mathfrak{X}^{(g(A))}$

$X \rightarrow g \cdot X \quad g \cdot (g' \cdot X) = (g \circ g') \cdot X$

## Tubular-neighborhood variables

$N = \text{tubular neighborhood} : I(N) \in \mathfrak{X}$

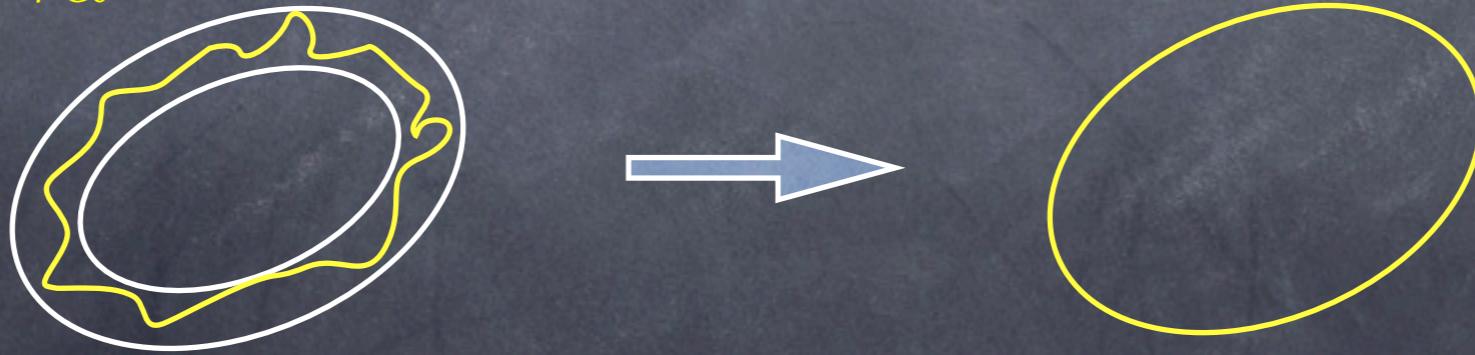
$N \in \text{Supp}(I(N)), \quad g \cdot I(N) = I(g(N)), \quad \mathbf{E}[I(N)]_A \neq 0, \quad A \supset N$

$$E(N) := \frac{I(N)}{\mathbf{E}[I(N)]_{\hat{\mathbb{C}}}}$$

«Weak-local» limits: the «configuration-separating» variables

$$E(\alpha) := \lim_{N \rightarrow \alpha} E(N)$$

$\alpha = \text{Jordan curve}$



weak-local: inside expectations, multiplied by variables supported elsewhere

## Conformal invariance

$$\mathbf{E}[g \cdot X]_{g(A)} = \mathbf{E}[X]_A \quad \forall \quad X \in \mathfrak{X}^{(A)}, g \text{ conformal on } A$$

Restriction: conditional = on new domain

$$\frac{\mathbf{E}[E(\alpha)X]_A}{\mathbf{E}[E(\alpha)]_A} = \mathbf{E}[X]_{A \setminus \alpha}$$

$X$  : product of factors supported on components of  $A \setminus \alpha$



## Smoothness

$g \mapsto \mathbf{E}[g \cdot X]_{g \cdot A}$ ,     $g \mapsto \mathbf{E}[X]_{g \cdot A}$     are smooth at  $g = \text{id}$

where

$g$  conformal on a support of  $X$  and boundary components of  $A$

$g \cdot A$  domain bounded by conformally mapped boundary components

## Local covering

$$\lim_{\epsilon \rightarrow 0} \nabla \mathbf{E}[X]_{A \setminus K_\epsilon} = \nabla \mathbf{E}[X]_A$$

if

derivative under small conformal deformations, of any order

$$\lim_{\epsilon \rightarrow 0} K_\epsilon = \{z\} \quad (\text{in the sense of smallest covering disks})$$

$X$  supported in  $A \setminus \{z\}$

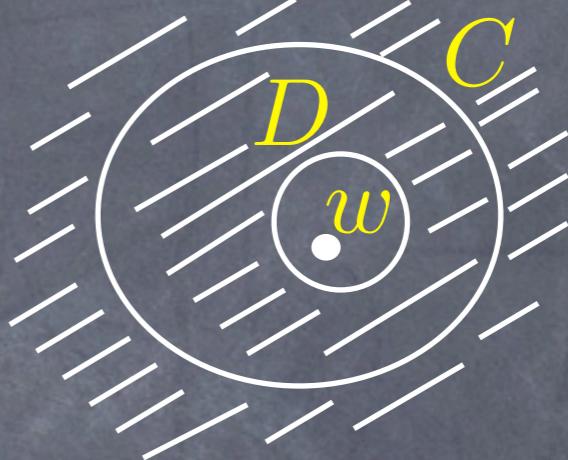
Idea: in CLE there are always infinitely many loops surrounding a point, so taking away a small domain, in the limit, will not change anything.

# Theorem 1: relative partition function

D. 2009-2012

Relative partition function (simply connected Jordan domains)

$$Z(\partial C, \partial D) := \frac{1}{\mathbf{E}[E(\partial C)]_{\hat{\mathbb{C}} \setminus \overline{D}}}$$



**Theorem:**

There exists a complex number  $c \in \mathbb{C}$  such that

$$\Delta_{-2,w} \log Z(\partial C, \partial D) = \frac{c}{12} \{s, w\}, \quad s : C \rightarrow \mathbb{D} \text{ conformally}$$

$$\Sigma \mapsto Z(\Sigma(\partial C), \Sigma(\partial D))$$

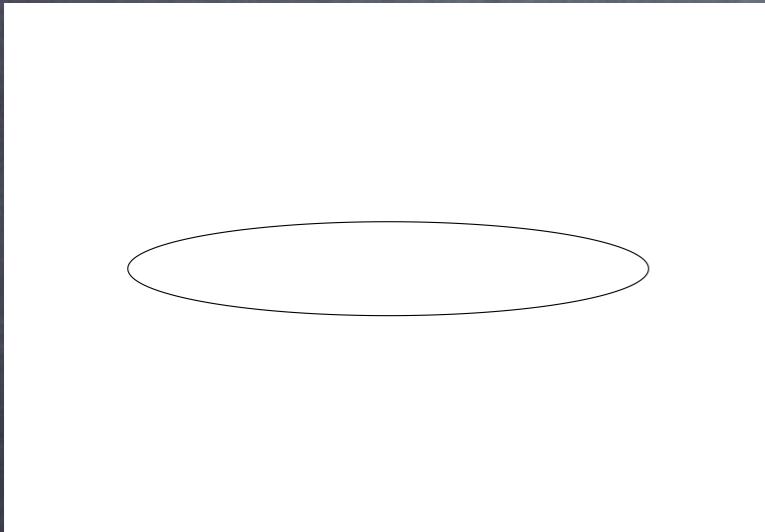
$$w \in D, \quad \overline{D} \subset C$$

# Theorem 2: (partial) VOA structure

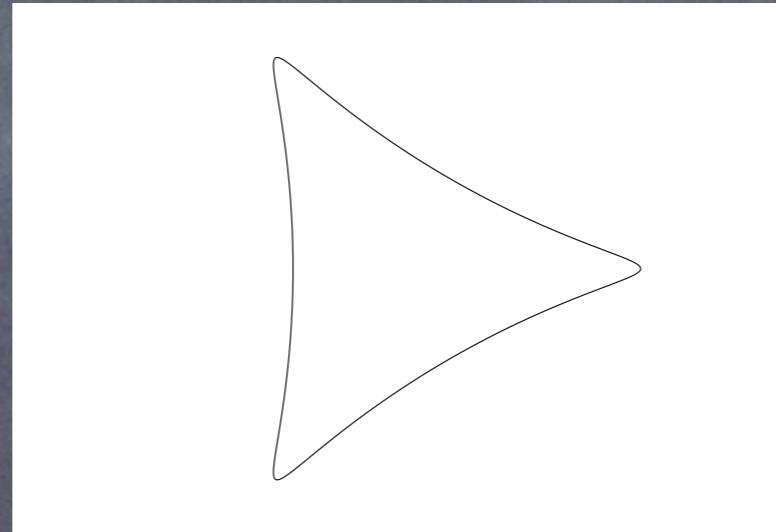
D. 2009-2012

hypotrochoids (ellipses and generalization):  $C_k(w, \epsilon, \theta)$

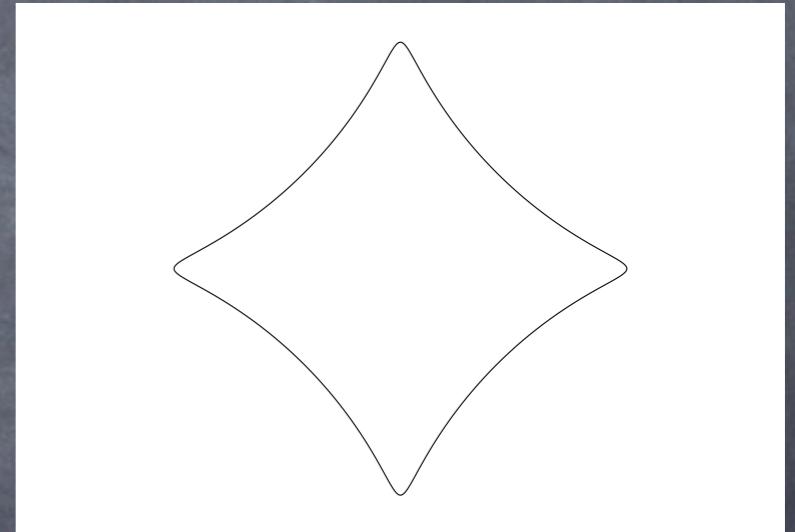
extent  $\epsilon$       angle  $\theta$       position  $w$



$k = 2$



$k = 3$



$k = 4$

$$C_k(w, \epsilon, \theta) := \left\{ w + \epsilon e^{i\theta} (b e^{i\alpha} + b^{1-k} e^{(1-k)i\alpha}) : \alpha \in [0, 2\pi) \right\}$$
$$k = 2, 3, 4, \dots, \quad w \in \mathbb{C}, \quad b > (k-1)^{1/k}$$

Asymptotic expansion in powers of  $u = \epsilon e^{i\theta}$  and  $\bar{u}$  exists

$$E(C_k(w, \epsilon, \theta)) \sim$$

$$1 + o((u\bar{u})^0) + \sum_{m=1}^{\infty} \frac{u^{km}}{m!} (T_{k,m}(w) + o((u\bar{u})^0)) + c.c.$$

Equivalently, we define the Fourier-transform variables

$$T_{k,m}(w) := \lim_{\epsilon \rightarrow 0} \frac{m!}{2\pi \epsilon^{km}} \int_0^{2\pi} d\theta e^{-kmi\theta} E(C_k(w, \epsilon, \theta))$$

## Some Virasoro descendants

$$v_{k,1} = L_{-k} \mathbf{1}$$

$$v_{k,2} = (L_{-k}^2 + (k-1)L_{-2k}) \mathbf{1}$$

$$v_{k,3} = (L_{-k}^3 + 3(k-1)L_{-2k}L_{-k} + 2(k-1)(2k-1)L_{-3k}) \mathbf{1}$$

## General recursive definition

$$v_{k,m} := \sum_{\lambda \in \Phi(m)} B_\lambda (k-1)^{m-|\lambda|} L_{-k\lambda_{|\lambda|}} \cdots L_{-k\lambda_1} \mathbf{1}$$

$$\Phi(m) = \{(\lambda_1, \dots, \lambda_j) : j \geq 1, \sum_i \lambda_i = \lambda, \lambda_i \geq 1\} \quad |\lambda| = j$$

$$B_{(\lambda_1, \dots, \lambda_j)} = \delta_{\lambda_j, 1} B_{(\lambda_1, \dots, \lambda_{j-1})} + \sum_i^j (\lambda_i - 1) B_{(\lambda_1, \dots, \lambda_i - 1, \dots, \lambda_j)}$$

## Theorem:

The variables  $T_{k,m}$  have the vertex operator algebra substructure of the descendants  $v_{k,m}$ , with the identification  $T_{k,m} \equiv v_{k,m}$

Laurent expansions of expectation values are reproduced by products of vertex operators,

$$\begin{aligned} & \left[ \mathbf{E}[T_{k_1, m_1}(w_1) \cdots T_{k_p, m_p}(w_p) X]_A \right]_{\text{expansion } |w_1| > \cdots > |w_p|} \\ &= Y(v_{k_1, m_1}, w_1) \cdots Y(v_{k_p, m_p}, w_p) \mathbf{E}[X]_A \end{aligned}$$
$$\Sigma \mapsto \mathbf{E}(\Sigma \cdot X)_{\Sigma \cdot A}$$

with the domain-dependent representation

$$L_\ell \mapsto Z(\partial A, v)^{-1} \Delta_{\ell, 0} Z(\partial A, v) \quad (\ell \leq -2), \quad \Delta_{\ell, 0} \quad (\ell \geq -1)$$

$v$  : Jordan curve in  $A$  surrounding 0

# Conclusion, outlook

- ⦿ Part of the Virasoro vertex operator algebra recovered as local random variables. This includes the stress-energy tensor, the Virasoro algebra itself, the associated conformal Ward identities. Any central charge (but no yet identified the central element with the CLE « $c$ »).
- ⦿ Full VOA? Geometric interpretation of variables?
- ⦿ Other symmetries? What replaces conformal transformations?
- ⦿ Proving the axioms of conformal restriction systems from conformal loop ensembles?