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# The stress-energy tensor in conformal loop ensembles

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## Statistical models (in two dimensions)

- Measures on functions  $\sigma$  from faces of a lattice (ex: hexagonal) to some set (ex:  $\{\uparrow,\downarrow\}$ ).
- Locality, homogeneity:  $\prod_{\text{faces } k} p(\sigma|_{\text{neighbourhood of } k})^{\beta}.$
- Criticality:  $\beta = \beta_c$ , correlation lengths become infinite. Two descriptions of large-distance behaviours:
  - conformal field theory, algebraic construction;
  - conformal loop ensembles, probabilistic/stochastic construction.



#### **Conformal field theory**

Consider  $\uparrow = +1$ ,  $\downarrow = -1$ . At criticality:

$$\lim_{\varepsilon \to 0} \varepsilon^{-2d} \mathbb{E}[\sigma(x/\varepsilon)\sigma(y/\varepsilon)] = C(x,y)$$

(here, x, y are in  $\mathbb{R}^2$ ). The coefficient C(x, y) is a correlation function in a CFT

 $C(x,y) = \langle \mathcal{O}(x)\mathcal{O}(y) \rangle$ 

The basic ingredients of CFT (and more generally QFT) are

- Local fields  $\mathcal{O}(x) \Leftrightarrow$  local variables 1,  $\sigma(k)$ ,  $\sigma^2(k)$ ,  $\sigma(k)\sigma(\text{neighbour of } k)$ , ...
- correlation functions  $\langle \cdot 
  angle \Leftrightarrow$  expectations of products of local variables  $\mathbb{E}[\cdot]$

 The main property of CFT is conformal invariance. Consider a transformation g conformal on a domain D. If O is "local enough," i.e. primary, it only feels the local translation, scaling and rotation, the latter two multiplicatively:

$$\langle \mathcal{O}(w)\mathcal{O}(z)\rangle_D = \left(g'(w)g'(z)\right)^h \left(\bar{g}'(\bar{w})\bar{g}'(\bar{z})\right)^{\bar{h}} \langle \mathcal{O}(g(w))\mathcal{O}(g(z))\rangle_{g(D)}$$

(here, w, z are in  $\mathbb{C}$ ;  $h, \overline{h}$  are in  $\mathbb{R}^+$ ; and ' is the derivative). In particular,  $h + \overline{h} = d$ .

• This implies the existence of the stress-energy tensor T(w), with Ward identities:

$$\langle T(w)\mathcal{O}(z)\cdots\rangle_{D} = \langle T(w)\rangle_{D}\langle \mathcal{O}(z)\cdots\rangle_{D} + \left(\frac{h}{(w-z)^{2}} + \frac{1}{w-z}\frac{\partial}{\partial z} + \ldots\right)\langle \mathcal{O}(z)\cdots\rangle_{D} + \int_{\partial D} ds\frac{1}{w-\partial D(s)}\frac{\partial}{\partial(\partial D(s))}\langle \mathcal{O}(z)\cdots\rangle_{D}$$

From explicit calculations in some models: *T* is not a primary field, there is a central charge *c* ∈ ℝ:

$$T(w) \mapsto g'(w)^2 T(g(w)) + \frac{c}{12} \{g, w\}, \quad \{g, w\} = \left(\frac{\partial^3 g(w)}{\partial g(w)} - \frac{3}{2} \left(\frac{\partial^2 g(w)}{\partial g(w)}\right)^2\right)$$

The algebraic structure of CFT [Kac, Lepowsky, ..., Cardy, Zamokodchikov, ...; 1980 –]

• Virasoro algebra:

$$T(w)\mathcal{O}(z) = \sum_{n \in \mathbb{Z}} (w - z)^{-n-2} (L_n \mathcal{O})(z)$$

$$[L_m, L_n] = (m-n)L_{m+n} + \frac{c}{12}m(m^2 - 1)\delta_{m+n,0}$$

- "Chiral part" of local fields: elements of highest weight modules for the Virasoro algebra – more generally, for a vertex operator algebra – characterised by the weight h
- Correlation functions: modular invariant chiral–anti-chiral pairings of "Clebsch-Gordon coefficients" of tensor products of VOA modules.

# Conformal fields, SLE, and CLE

- Conformal fields as described correspond to local observables of the statistical model.
- All correlation functions of conformal fields can be obtained from SLE<sub>κ</sub>: appropriate martingales [Bauer, Bernard 2002 –].
- But generically conformal fields are not local observables of the random curve in SLE.
- Some fields do correspond to local SLE observables: stress-energy tensor (κ = 8/3) and U(1)-current (κ = 4) [D., Riva, Cardy 2006], parafermions (some κ > 4) [Riva, Rajabpour, Cardy; 2006 –].
- For more general fields and arbitrary central charge, we need all the loops: CLE.
- Only for  $\kappa = 8/3$  is there a local weight function describing the SLE curve.
- One can also extend the CFT algebraic structure in order to describe natural SLE observables [Cardy, Watts, Mathieu, Ridout, Simmons].

## Constructing the stress-energy tensor [D., Riva, Cardy (2006)]

• Consider the algebraic definition of the stress-energy tensor from the **identity field 1**:

$$(L_{-2}\mathbf{1})(w) = T(w)$$

• Interpret geometrically:

The stress-energy tensor is the result of a conformal transformation that preserves  $\infty$ , on a simply connected domain that excludes the point w, whose extension to w has a simple pole at that point.

• Hence the stress-energy tensor should be obtained from the conformal transformation

$$f(z) = z + \frac{\varepsilon^2 e^{2i\theta}}{16(w-z)}$$

for  $\varepsilon$  small.

## **Conformal restriction?**

Consider a statistical model on  $\mathbb{C}$ , with a random set  $\Gamma$  (e.g.: the loops of the O(n) model).

If we restrict the model to nothing intersecting the boundary of a domain  $B \subset \mathbb{C}$ , then this should be equivalent to looking at the model on  $\mathbb{C} \setminus B$ .

- $D(w,\varepsilon)$ : the disk of diameter  $b\varepsilon/2$  centered at w, for some b>1
- $E(w, \varepsilon, \theta)$ : the ellipse obtained from  $f(\mathbb{C} \setminus D(w, \varepsilon)) = \mathbb{C} \setminus E(w, \varepsilon, \theta)$ .
- X(z,...): e.g. event that at least one loop winds in a certain way around points z,...
- $Y(w,\varepsilon,\theta)$ : the event  $\Gamma\cap\partial E(w,\varepsilon,\theta)=\emptyset$



$$P(X(z,...))_{\mathbb{C}\setminus D(w,\varepsilon)} = P(X(f(z),...))_{\mathbb{C}\setminus E(w,\varepsilon,\theta)}$$
  
= 
$$P(X(f(z),...) | Y(w,\varepsilon,\theta))_{\mathbb{C}}$$
  
= 
$$\frac{P(X(f(z),...) \cap Y(w,\varepsilon,\theta))_{\mathbb{C}}}{P(Y(w,\varepsilon,\theta))_{\mathbb{C}}}$$

$$\Rightarrow P(Y(w,\varepsilon,\theta))_{\mathbb{C}}P(X(z,\ldots))_{\mathbb{C}\setminus D(w,\varepsilon)}$$

$$= \left(1 + \frac{\varepsilon^2 e^{2i\theta}}{16(w-z)}\frac{\partial}{\partial z} + \text{c.c.} + \ldots\right)P(X(z,\ldots)\cap Y(w,\varepsilon,\theta))_{\mathbb{C}}$$

$$= P(X(z,\ldots)\cap Y(w,\varepsilon,\theta))_{\mathbb{C}} + \left(\frac{\varepsilon^2 e^{2i\theta}}{16(w-z)}\frac{\partial}{\partial z} + \text{c.c.} + \ldots\right)P(X(z,\ldots))_{\mathbb{C}}$$

Hence, the stress-energy tensor is the "insertion of a small rotating avoided ellipse":

$$\Rightarrow -\lim_{\varepsilon \to 0} \frac{8}{\pi \varepsilon^2} \int_0^{2\pi} d\theta e^{-2i\theta} P(X(z,\ldots) \cap Y(w,\varepsilon,\theta))_{\mathbb{C}} = \left(\frac{1}{w-z}\frac{\partial}{\partial z} + \ldots\right) P(X(z,\ldots))_{\mathbb{C}}$$

#### Problem: zero central charge!

For small  $\varepsilon$ , only translation, rotation and scaling affect the rotating ellipse:

$$-\lim_{\varepsilon \to 0} \frac{8}{\pi \varepsilon^2} \int_0^{2\pi} d\theta e^{-2i\theta} P(X(z,\ldots) \cap Y(w,\varepsilon,\theta))_C$$
$$= -(\partial g(w))^2 \lim_{\varepsilon \to 0} \frac{8}{\pi \varepsilon^2} \int_0^{2\pi} d\theta e^{-2i\theta} P(X(g(z),\ldots) \cap Y(g(w),\varepsilon,\theta)))_{g(C)}$$

(can be shown by providing an appropriate modification of f(z) in order to produce a conformally transformed ellipse from  $\mathbb{C}\setminus D(w,\varepsilon)$ ).

Construction works for the **true restriction measure** [Lawler, Schramm, Werner 2003]  $SLE_{8/3}$  [D., Riva, Cardy 2006], generalises the construction for the boundary stress-energy tensor [Friedrich, Werner (2003)]. In this case, the central charge indeed is zero.

# Conformal loop ensembles [Sheffield, Werner 2005 –]

Consider the set  $S_D$  whose elements are collections of at most a countable infinity of self-avoiding, disjoint loops lying on a simply connected domain D.



A conformal loop ensemble can be seen as a family of measures  $\mu_D$  on the sets  $\mathcal{S}_D$  for all simply connected domains D, with the **three properties**.

1. Conformal invariance.

For any conformal transformation  $f: D \to D'$ ,

$$\mu_D = \mu_{D'} \cdot f$$

- Expected, but hard to prove from statistical models.
- The only non-trivial condition is for  $f: D \to D$  for one given canonical domain D. The rest is definition for other domains.

#### 2. Nesting.

The measure  $\mu_D$  restricted on a loop  $\gamma \subset D$  and on all loops outside  $\gamma$  is equal to the CLE measure  $\mu_{D_{\gamma}}$  on the domain  $D_{\gamma} \subset D$  delimited by  $\gamma$  (i.e. with  $\partial D_{\gamma} = \gamma$ ).

- This is (usually) simple to see from statistical models.
- It says that the inner boundary of a loop is like the boundary of a domain.
- It can be implemented by an iterative construction: first construct a measure on outer loops only, then inside every outer loop put the conformally transported measure, etc.

## 3. Conformal restriction.

Given a domain  $B \subset D$  such that  $D \setminus B$  is simply connected, consider  $\tilde{B}$ , the closure of the set of points of B and points that lie inside loops that intersect B. Then the measure on each component  $C_i$  of  $D \setminus \tilde{B}$ , obtained by restriction on loops that intersect B, is  $\mu_{C_i}$ .



- This is again (usually) simple to see from statistical models.
- It is "trying" to say two things:
  - 1. the outer boundary of a loop is like a domain boundary;
  - 2. the measure restricted on no loop crossing  $\partial B$  is a product of independent CLE measures;

neither of which can be exactly implemented as conditions on  $\mu_D$ . For the first: requires CLE on non-simply connected domains. For the second: impossible because around any point there is a.s. infinitely many loops.

# **Relations between CLE and SLE**

- In both cases, there is a condition of conformal invariance and conditions saying that the curves are like domain boundaries.
- There is a construction of a family of CLE's parametrised by a parameter  $\kappa$  for  $8/3 < \kappa < 4$  which have the property that locally, the loops look like SLE<sub> $\kappa$ </sub>.
- Both CLE and SLE<sub>8/3</sub> have (slightly different) conformal restriction properties. In both cases, curves/loops are everything there is.

#### The stress-energy tensor with non-zero central charge [D.]

Infinitely many small loops: modify conformal restriction, give rise to non-zero central charge.

Basic idea: suppose we have a "regularised" probability  $P^{\text{reg}}(\{z, \ldots\}; A)_D$  depending on a simply connected domain A (disjoint from  $z, \ldots$ ), which in a sense imposes that no loop crosses  $\partial A$ . Suppose it has the following properties:

$$P^{\operatorname{reg}}(X(g(z),\ldots);g(A))_{g(D)} = f(g,A)P^{\operatorname{reg}}(X(z,\ldots);A)_{D}$$

$$P^{\operatorname{reg}}(X(g(z),\ldots);g(A))_{g(D)} = P^{\operatorname{reg}}(X(z,\ldots);A)_{D} \text{ for } g \text{ conformal on } \mathbb{C} + \{\infty\}$$

$$\frac{P^{\operatorname{reg}}(X(z,\ldots);A)_{D}}{P^{\operatorname{reg}}(A)_{D}} = P(X(z,\ldots))_{D\setminus A}$$

Then, we have the Ward identities...

$$-\lim_{\varepsilon \to 0} \frac{8}{\pi \varepsilon^2} \int_0^{2\pi} d\theta e^{-2i\theta} P^{\operatorname{reg}}(X(z,\ldots); E(w,\varepsilon,\theta))_{\mathbb{C}} = \left(\frac{1}{w-z} \frac{\partial}{\partial z} + \ldots\right) P(X(z,\ldots))_{\mathbb{C}}$$

#### ... and the correct transformation properties:

- Fourier decomposition:  $f(g, E(w, \varepsilon, \theta)) = \sum_{n \in \mathbb{Z}} f_{2n}(g, w, \varepsilon) e^{2ni\theta}$
- Fourier transform of the transformation equation:

$$\int_{0}^{2\pi} d\theta e^{-2i\theta} P^{\operatorname{reg}}(X(g(z),\ldots);g(E(w,\varepsilon,\theta)))_{g(D)}$$
$$= \int_{0}^{2\pi} d\theta e^{-2i\theta} f(g,E(w,\varepsilon,\theta)) P^{\operatorname{reg}}(X(z,\ldots);E(w,\varepsilon,\theta))_{D}$$

- Deduce that  $f_2(g, w, \varepsilon) \sim \varepsilon^2 f_2(g, w)$ , with  $f_2(g, w)$  holomorphic in w, and the correct transformation properties with central term  $f_2(g, w)/4$ .
- Automorphic factor:  $f(h \circ g, A) = f(g, A)f(h, g(A))$
- Infinitesimally  $f_2(h \circ g, w) = f_2(g, w) + (\partial g(w))^2 f_2(h, g(w))$ , with constraint  $f_2(g, w) = 0$  for g conformal on  $\mathbb{C} + \{\infty\}$  (global conformal transformation), has solution  $f_2(g, w) = (c/3)\{g, w\}$  (for some c) the Schwarzian derivative.

## **Construction in CLE**

Consider a family of events  $\mathcal{E}(A, \epsilon)$  characterised by any simply connected domains A and any small enough real numbers  $\epsilon > 0$ , defined as follows:

• For  $A = \mathbb{D}$ , it is the event that no loop intersect both  $(1 - \epsilon)\partial \mathbb{D}$  and  $\partial \mathbb{D}$ .



• For  $A \neq \mathbb{D}$ , it is the event  $g_A(\mathcal{E}(\mathbb{D}, \epsilon))$ , where the conformal transformations  $g_A$  is chosen such that  $A = g_A(\mathbb{D})$ , and such that if A = G(B) for some global conformal transformation G, then there is a global conformal transformation K with K(B) = B such that  $g_A = G \circ K \circ g_B$ .

The regularised probability can be defined by (for A simply connected and disjoint from  $z, \ldots$ ):

$$P^{\operatorname{reg}}(X(z,\ldots);A)_D = \mathcal{N}\lim_{\epsilon \to 0} \frac{P(X(z,\ldots) \cap \mathcal{E}(A,\epsilon))_D}{P(\mathcal{E}(\mathbb{D},\epsilon))_{2\mathbb{D}}}$$

## "Theorems:"

• The limit exists.

The ratio <sup>Preg</sup>(X(z,...); A)<sub>D</sub>/P<sup>reg</sup>(A)<sub>D</sub>, as a function of z,... and ∂A, is invariant under any transformation conformal on D \ A.

The ratio <sup>Preg</sup>(X(g(z),...); g(A))<sub>g(D)</sub>/P<sup>reg</sup>(X(z,...); A)<sub>D</sub> is independent of z,... and of D, and is 1 if g is a global conformal transformation.
 "Theorem." Any two "local" objects that are zero on the unit disk and transform like the stress-energy tensor, have the same "correlation functions".

**Corolary.** Any "local" object that is zero on the unit disk and transforms like the stress-energy tensor, satisfies the conformal Ward identities.

# Conclusion

We have constructed an object (the limit of an integral of the limit of a ratio of probabiilities...) that satisfies the conformal Ward identities, and that transforms like the stress-energy tensor.

- Can we re-construct the vertex operator algebra from this?
- What are the events / objects corresponding to rational modules?
- Can we repeat the construction on surfaces of arbitrary genus?