# Exact low-energy results for non-equilibrium steady-states

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based on work in preparation with Denis Bernard

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#### Physical situation

$$t < t_0:$$
  $\beta_l$   $\beta_r$   $R/2$   $R/2$ 

$$t=t_0$$
:

$$t > t_0$$
:

$$\frac{R}{v_F} \gg t - t_0 \gg \frac{\hbar \beta_{r,l}}{k_B}, \dots :$$



#### Physical situation

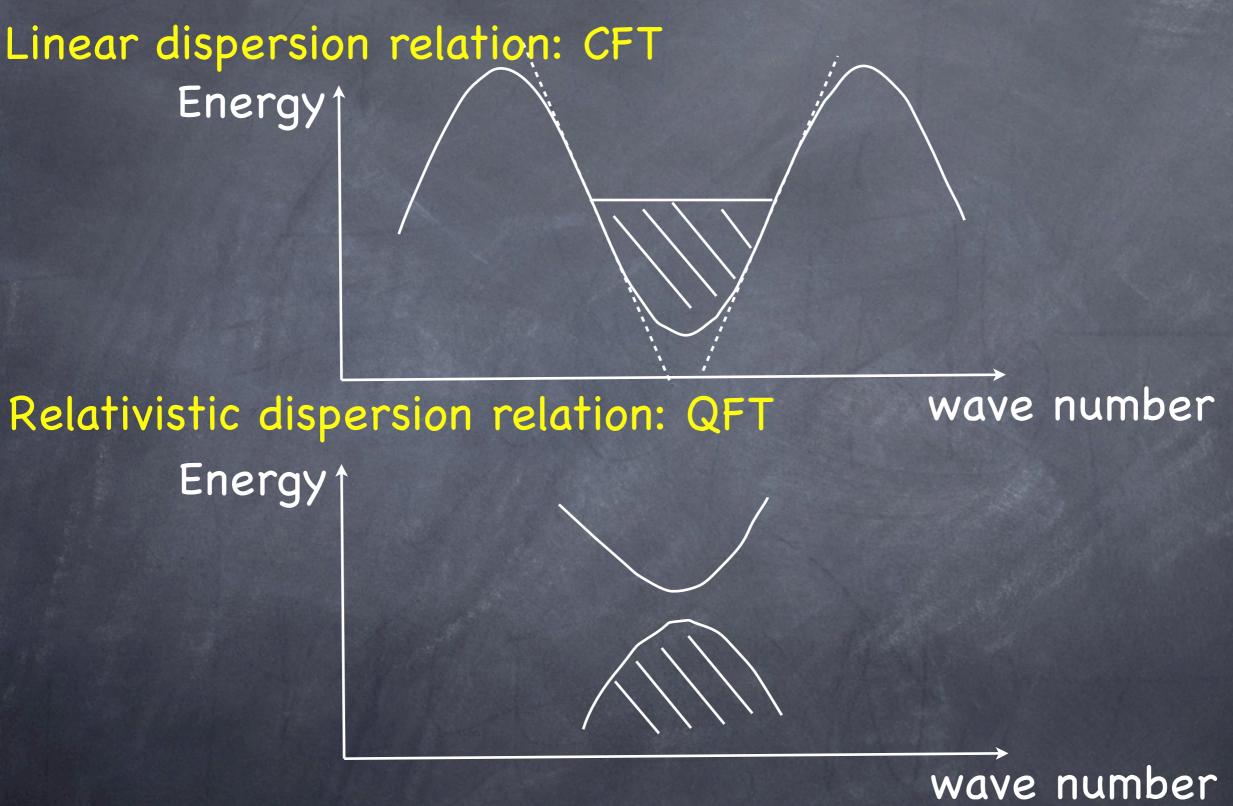
$$\langle \cdots \rangle_{\text{ness}} = \lim_{t_0 \to -\infty} \lim_{R \to \infty} \frac{\text{Tr} \left( e^{iHt_0} \rho_0 e^{-iHt_0} \cdots \right)}{\text{Tr} \left( \rho_0 \right)}$$

$$\rho_0 = e^{-\beta_l H^l - \beta_r H^r}$$

$$H = H^l + H^r + H_{\text{contact}}$$

Observables supported on a finite region

## Scaling limit: (relativistic) QFT



#### Description of the steady state

$$\langle \cdots \rangle_{\text{ness}} = \frac{\text{Tr}\left(e^{-Y}\cdots\right)}{\text{Tr}\left(e^{-Y}\right)}$$

#### Operator Y:

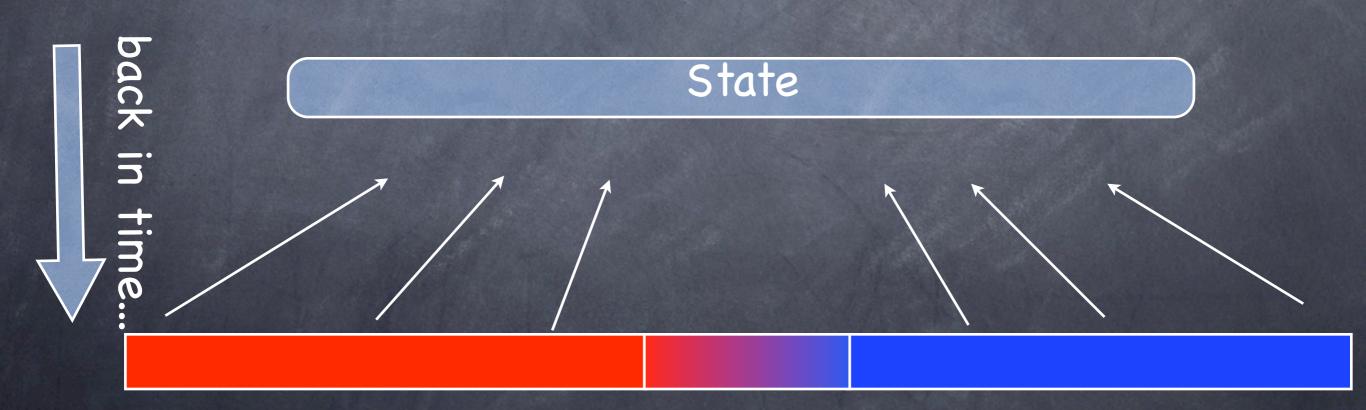
- $\odot$  Commutes with the Hamiltonian H
- $\odot$  «Asymptotically looks like»  $eta_l H^l + eta_r H^r$
- \* Formal definition first proposed by Hershfield (PRL 1993) (case where both temperatures are the same and something else is flowing, like a charge)
- \* Studied widely for charge transfer in impurity systems

#### Description of the steady state

 $Y = \beta_l \int_0^\infty d\theta E_\theta A(\theta)^\dagger A(\theta) + \beta_r \int_{-\infty}^0 d\theta E_\theta A(\theta)^\dagger A(\theta)$  [DB & BD]

Total energy of right-moving asymptotic particles

Total energy of left-moving asymptotic particles



#### The energy current

$$J = \langle p^{1}(x) \rangle_{\text{ness}}$$

$$= \lim_{R \to \infty} R^{-d} \frac{\text{Tr}_{R} \left( e^{-Y} P^{1} \right)}{\text{Tr}_{R} \left( e^{-Y} \right)}$$

$$= \lim_{R \to \infty} R^{-d} \frac{\text{Tr}_{R} \left( e^{-Y} + P_{+}^{1} \right)}{\text{Tr}_{R} \left( e^{-Y} + P_{+}^{1} \right)} + \lim_{R \to \infty} R^{-d} \frac{\text{Tr}_{R} \left( e^{-Y} - P_{-}^{1} \right)}{\text{Tr}_{R} \left( e^{-Y} - P_{-}^{1} \right)}$$

$$\mathcal{H} = \mathcal{H}_{+} \otimes \mathcal{H}_{-}$$

$$Y = Y_{+} + Y_{-}$$

 $P^1 = P_+^1 + P_-^1$ 

#### The energy current

Using the fact that the energy is unchanged under change of sign of a momentum component:

$$J = f(\beta_l) - f(\beta_r)$$

Towards the conformal (gapless) point:

$$J = \alpha \, m^{d-1} (\beta_l^{-2} - \beta_r^{-2})$$

## 1D: the CFT central charge

[DB & BD]

$$J = \frac{\pi c}{12} (\beta_l^{-2} - \beta_r^{-2}) = \frac{\pi c k_B^2}{12\hbar} (T_l^2 - T_r^2)$$

central charge

$$T(x) = -\frac{c}{24} + \sum_{n \in \mathbb{Z}} L_n e^{-\frac{2\pi i n x}{R}}$$
 Virasoro

$$H = \int dx (h_{+}(x) + h_{-}(x)) \qquad h_{+}(x) = \frac{2\pi}{R^{2}} T(x)$$

$$H^{l,r} = \int dx (h_{+}^{l,r}(x) + h_{-}^{l,r}(x)) \qquad h_{-}(x) = \frac{2\pi}{R^{2}} \bar{T}(x)$$

$$J = \langle h_{+}(x) - h_{-}(x) \rangle_{\text{ness}}$$

#### 1D: the CFT central charge

Using the fact that

$$h_{\pm}(x) = \begin{cases} h_{\pm}^{l}(x) & (x < 0) \\ h_{\pm}^{r}(x) & (x > 0) \end{cases}$$

and

$$\rho_0 = e^{-\beta_l H^l - \beta_r H^r}$$

we find

$$Y = \frac{2\pi\beta_l}{R}L_0 - \frac{2\pi\beta_r}{R}\bar{L}_0$$

#### 1D: the CFT central charge

Hence:

$$J = f(\beta_l) - f(\beta_r), \quad f(\beta) = -\lim_{R \to \infty} \frac{1}{R} \frac{d}{d\beta} \log Z(\beta)$$

where

$$Z(\beta) = \operatorname{Tr}\left(e^{-\frac{2\pi\beta}{R}L_0}\right)$$

and we can use

$$Z(\beta) \sim N e^{\frac{\pi c R}{12\beta}}$$

## Fluctuations of the energy transfer

We want to measure the fluctuations of the transfer of energy, whose «charge» can be taken as:

$$Q = \frac{1}{2} (H^l - H^r)$$

$$H^l \qquad H^r$$

$$Q = q_0$$

$$Q = q_0$$

$$Q = q_0 + q$$

## Fluctuations of the energy transfer

$$P(q,t) = \sum_{q_0} \operatorname{Tr} \left( P_{q_0+q} e^{-iHt} P_{q_0} \left( o_{\text{ness}} P_{q_0} e^{iHt} P_{q_0+q} \right) \right)$$

$$P(\lambda, t) = \sum_{q} e^{i\lambda q} P(q, t)$$

$$\log P(\lambda, t) \sim t F(\lambda) + O(1)$$

Cumulant generating function

$$=-i\lambda J+\dots$$

#### An expected fluctuation relation

$$F(\lambda) = F(i(\beta_l - \beta_r) - \lambda)$$

Equivalent to:

$$P(q, t \to \infty) = e^{(\beta_l - \beta_r)q} P(-q, t \to \infty)$$

Such a relation was argued for first measurement at  $t=t_0$  Jarzynski, Wojcik (PRL 2004)

See the nice review by: Esposito, Harbola, Mukamel (RMP 2009)

More rigorous proof given in: Andrieux, Gaspard, Monnai, Tasaki (2008)

Basic ideas: Gallavoti, ...

#### The full counting statistics in CFT

#### Recall:

$$P(\lambda, t) = \sum_{q,q_0} e^{i\lambda q} \operatorname{Tr} \left( P_{q_0+q} e^{-iHt} P_{q_0} \rho_{\text{ness}} P_{q_0} e^{iHt} P_{q_0+q} \right)$$

Use 
$$\sum_q f(q) P_q = f(Q)$$
 and  $P_q \propto \int d\mu \, e^{i\mu(Q-q)}$ 

$$F(\lambda) = \lim_{t \to \infty} t^{-1} \log \left[ \lim_{t_0 \to -\infty} \lim_{R \to \infty} \int d\mu \right]$$

$$\frac{\operatorname{Tr}\left(\rho_{0}(t_{0}) e^{-i\left(\frac{\lambda}{2}+\mu\right)Q} e^{i\lambda Q(t)} e^{-i\left(\frac{\lambda}{2}-\mu\right)Q}\right)}{\operatorname{Tr}\rho_{0}(t_{0})}$$

#### The full counting statistics in CFT

#### Parenthesis: charge transfer in free-fermion systems

- Cumulant generating function known in terms of transmission matrix: Lesovik-Levitov formula (1993,1994) (also: Klich, Schonhammer, DB & BD, . . .)
- $\bullet$  It is observed that the same result is obtained with any fixed  $\mu$

Hence we expect to get the same result with:

$$\frac{\operatorname{Tr}\left(\rho_0(t_0) e^{i\lambda Q(t)} e^{-i\lambda Q}\right)}{\operatorname{Tr}\rho_0(t_0)}$$

#### The full counting statistics in CFT

$$e^{i\lambda Q(t)}e^{-i\lambda Q} = e^{i\lambda Q + i\lambda} \underbrace{\begin{pmatrix} t & dx & (h_{-}(x) - h_{+}(-x)) \\ 0 & dx & (h_{-}(x) - h_{+}(-x)) \end{pmatrix}}_{e^{-i\lambda Q}}$$

Supported on a finite region

Finitely-supported observable, can use Y-operator, get factorization:

$$F(\lambda) = f(\lambda, \beta_l) + f(-\lambda, \beta_r)$$

$$f(\lambda,\beta) = \left\langle e^{i\lambda \left(-\frac{\pi}{R} + \frac{2}{R} \sum_{n \in \mathbb{Z}} L_n \frac{\sin \frac{\pi n t}{R}}{n}\right)} \right\rangle_{\beta - \frac{i\lambda}{2}} \left\langle e^{i\lambda \frac{\pi L_0}{R}} \right\rangle_{\beta}$$

## The full counting statistics in CFT [DB & BD]

$$F(\lambda) = \frac{i\lambda\pi c}{12} \left( \frac{1}{\beta_r(\beta_r - i\lambda)} - \frac{1}{\beta_l(\beta_l + i\lambda)} \right)$$

Using dimensional analysis, unique solution to:

- $oldsymbol{F}$  Factorization  $F(\lambda) = f(\lambda, eta_l) + f(-\lambda, eta_r)$
- Leading behaviour  $F(\lambda) = O(\lambda)$
- Fluctuation relation

#### A stochastic interpretation

Independent Poisson processes for jumps of every energy E, positive or negative, with intensity

$$dE e^{-\beta_l E} \quad (E > 0)$$

$$dE e^{\beta_r E} \quad (E < 0)$$

$$dE e^{\beta_r E} \qquad (E < 0)$$

#### Conclusion and perspectives

- Complete proof
- Generalization to presence of conformal impurity, to integrable models (thermodynamic Bethe ansatz), to charge currents, etc.
- Higher dimensions: same formula?
- Stochastic re-interpretation of CFT? of QFT?