

## Answers to mock exam, section B

Lie Group and Lie Algebras, 2010.

### B4

(i) The Heisenberg group is the subgroup of  $GL(3, \mathbb{R})$  formed by the matrices

$$H = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, b, c \in \mathbb{R} \right\}.$$

Its center is the set of matrices in  $H$  that commute with everything in  $H$ :

$$Z(H) = \{A \in H : AB = BA \forall B \in H\}.$$

The product of two elements of  $H$  is given by

$$AA' = \begin{pmatrix} 1 & a + a' & b' + ac' + b \\ 0 & 1 & c + c' \\ 0 & 0 & 1 \end{pmatrix}.$$

Hence, requiring  $AA' = A'A$  for all  $a', b', c'$  gives us the requirement  $ac' = a'c$  so that  $a = 0$  (e.g. taking  $a' = 0$  and  $c' = 1$ ) and  $c = 0$  (e.g. taking  $a = 1$  and  $c' = 0$ ). The center is then

$$Z(H) = \left\{ \begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} : b \in \mathbb{R} \right\}.$$

The product of two central elements  $A$  and  $A'$  gives

$$AA' = \begin{pmatrix} 1 & 0 & b + b' \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

so that we see that the map  $\phi : \mathbb{R} \rightarrow Z(H) : b \mapsto \begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$  is an isomorphism (bijective, and preserves products:  $\phi(b)\phi(b') = \phi(b + b')$ ). Hence,  $Z(H)$  is isomorphic to  $\mathbb{R}$ .

In general, the center of a group is a normal subgroup (hence in particular a subgroup). We could prove this in general, but here let us show it in the particular case of the group  $H$ .  $Z(H)$  is a subgroup: it contains the identity ( $b = 0$ ), every element has an inverse ( $b \mapsto -b$ ), and the product is closed (obvious by the formula above). It is also a normal

subgroup, since by construction  $AA' = A'A$  for all  $A \in H$  and for any  $A' \in Z(H)$ , so that  $A^{-1}A'A = A' \in Z(H)$ .

$$A^{-1} = \begin{pmatrix} 1 & -a & ac - b \\ 0 & 1 & -c \\ 0 & 0 & 1 \end{pmatrix}.$$

The quotient group  $Q = H/Z(H)$  is the group of left-cosets,  $\{hZ(H) : h \in H\}$ . Using the multiplication law, taking

$$Z(H) = \begin{pmatrix} 1 & 0 & \mathbb{R} \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

we see that the quotient group is

$$Q = \left\{ \begin{pmatrix} 1 & a & \mathbb{R} \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, c \in \mathbb{R} \right\}.$$

For  $A, A' \in Q$ , the product is

$$AA' = \begin{pmatrix} 1 & a' + a & \mathbb{R} \\ 0 & 1 & c' + c \\ 0 & 0 & 1 \end{pmatrix}.$$

This is clearly abelian,  $AA' = A'A$ . Also, the map  $\phi : \mathbb{R}^2 \rightarrow Q : (a, c) \mapsto \begin{pmatrix} 1 & a & \mathbb{R} \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}$  is an isomorphism (bijective, and preserves products:  $\phi(a, c)\phi(a', c') = \phi(a + a', c + c')$ ), so that  $Q$  is isomorphic to  $\mathbb{R}^2$ .

Finally, let us see if we could have a semi-direct product  $Q \rtimes Z(H)$  isomorphic to  $H$ . Since we know that  $Q$  is isomorphic to  $\mathbb{R}^2$  and that  $Z(H)$  is isomorphic to  $\mathbb{R}$ , we are looking for an isomorphism between  $\mathbb{R}^2 \rtimes \mathbb{R}$  and  $H$ . Consider in general the multiplication law for such a semidirect product:

$$((a, c), b)((a', c'), b') = ((a + a', c + c'), b + \phi_{(a,c)}(b'))$$

where  $\phi_{(a,c)}$  is an element of the automorphism group of  $\mathbb{R}$  and depends on  $(a, c)$ . We know that the multiplication law for  $H$  gives

$$((a, c), b)((a', c'), b') = ((a + a', c + c'), b + b' + ac')$$

so that we would require

$$\phi_{(a,c)}(b') = b' + ac'.$$

This is clearly not an automorphism of  $\mathbb{R}$  depending  $(a, c)$ : it is not an automorphism of  $\mathbb{R}$ , and there is a  $c'$  dependence. Hence, there is no semi-direct product  $Q \rtimes Z(H)$  isomorphic to  $H$ .

(ii) The Lie algebra of the Heisenberg group is the set of matrices of the form

$$\left\{ X = \begin{pmatrix} 0 & a & b \\ 0 & 0 & c \\ 0 & 0 & 0 \end{pmatrix} : (a, b, c) \in \mathbb{R}^3 \right\}.$$

Explicitly exponentiating gives

$$e^X = \mathbf{1} + \begin{pmatrix} 0 & a & b \\ 0 & 0 & c \\ 0 & 0 & 0 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 0 & 0 & ac \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & a & b + ac/2 \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}.$$

On the other hand, the matrices in the Heisenberg group are

$$\left\{ M = \begin{pmatrix} 1 & A & B \\ 0 & 1 & C \\ 0 & 0 & 1 \end{pmatrix} : (A, B, C) \in \mathbb{R}^3 \right\}.$$

Hence, we find that the exponential map is

$$(a, b, c) \mapsto (A, B, C) = (a, b + ac/2, c).$$

The inverse map can easily be obtained:

$$(A, B, C) \mapsto (a, b, c) = (A, B - AC/2, C).$$

This makes it clear that the exponential map is onto (surjective: every  $(A, B, C)$  has a pre-image) and 1-1 (injective: if  $(A, B, C) \neq (A', B', C')$ , then  $(A, B - AC/2, C) \neq (A', B' - A'C'/2, C')$  - clear if  $A \neq A'$  or  $C \neq C'$ , and if  $A = A'$  and  $C = C'$ , it becomes clear for  $B' \neq B$  as well).

(iii) For the group  $SU(2)$ , the three conditions on the matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  coming from  $A^\dagger A = \mathbf{1}$  are  $|a|^2 + |c|^2 = 1$ ,  $|b|^2 + |d|^2 = 1$  and  $a^*b + c^*d = 0$ , and the condition saying that  $A$  has unit determinant is  $ad - bc = 1$ . Given  $a$  and  $c$  satisfying the first equation, we can solve the third for  $b$ , giving  $b = -c^*d/a^*$ . The unit determinant condition then is  $ad + |c|^2d/a^* = 1$  so that  $d = a^*$ , which implies  $b = -c^*$ . Hence, we can write

$$A = \begin{pmatrix} a & -c^* \\ c & a^* \end{pmatrix}$$

with the condition that  $|a|^2 + |c|^2 = 1$ . Hence, all matrices are characterised by 2 complex numbers,  $a$  and  $c$ , with one condition,  $|a|^2 + |c|^2 = 1$ . Each complex number is 2 real numbers,  $a = a_r + ia_i$  and  $c = c_r + ic_i$ , so what we have is a hyper-surface in  $\mathbb{R}^4$  (i.e. a manifold of dimension 3 in  $\mathbb{R}^4$ ). This manifold is a 3-sphere, defined by the equation  $a_r^2 + a_i^2 + c_r^2 + c_i^2 = 1$ .

## B5

(i) Following the hint, we first do

$$e^A e^B = e^A e^B e^{-A} e^A = e^{e^A B e^{-A}} e^A = e^{e^{\text{ad}A}(B)} e^A.$$

Now we have

$$e^{\text{ad}A}(B) = B + [A, B] + \frac{1}{2}[A, [A, B]] + \dots = B + uA + 0 + \dots = B + uA.$$

Hence,

$$e^A e^B = e^{B+uA} e^A.$$

Next, we define  $X = B + uA$  and  $Y = A$  in order to use the BCH formula. We find

$$[X, Y] = [B + uA, A] = -uA = -uY.$$

Hence,  $\text{ad}X(Y) = -uY$  and  $\text{ad}Y(Y) = 0$ , so that  $\text{ad}X$  and  $\text{ad}Y$  both preserve the vector space  $\mathbb{C}Y$ : they are just multiplication operators. Hence, we can just replace them by the number that they multiply by, i.e.  $\text{ad}X \mapsto -u$  and  $\text{ad}Y \mapsto 0$ . We get

$$\log(e^A e^B) = B + uA + \int_0^1 dt \frac{\log(e^{-u})}{1 - (e^{-u})^{-1}} A = B + uA + \frac{-u}{1 - e^u} A = B + \frac{u}{1 - e^{-u}} A$$

(ii) Since  $[P, H] = 0$ , we immediately can write

$$e^{ixP} e^{ixH} e^{\theta B} = e^{ix(P+H)} e^{\theta B}.$$

Then, we see that  $[ix(P+H), \theta B] = -ix\theta(P+H)$ , so in the formula of (i) we can set  $A \mapsto ix(P+H)$ ,  $B \mapsto \theta B$  and  $u \mapsto -\theta$ . We directly obtain

$$e^{ixP} e^{ixH} e^{\theta B} = e^{\theta \left( \frac{1}{e^{\theta} - 1} ix(P+H) + B \right)}.$$

## B6

(i) We only have to verify the derivation property on the commutator  $[D_1, D_2]$ . We have

$$\begin{aligned} [D_1, D_2]([xy]) &= D_1(D_2([xy])) - D_2(D_1([xy])) \\ &= D_1([D_2(x)y] + [xD_2(y)]) - D_2([D_1(x)y] + [xD_1(y)]) \\ &= [D_1(D_2(x))y] + [D_2(x)D_1(y)] + [D_1(x)D_2(y)] + [xD_1(D_2(y))] \\ &\quad - [D_2(D_1(x))y] - [D_1(x)D_2(y)] - [D_2(x)D_1(y)] - [xD_2(D_1(y))] \\ &= [D_1(D_2(x))y] - [D_2(D_1(x))y] + [xD_1(D_2(y))] - x[D_2(D_1(y))] \\ &= [[D_1, D_2](x)y] + [x[D_1, D_2](y)] \end{aligned}$$

hence  $[D_1, D_2]$  is a derivation.

- (ii) In order for the kernel to be a subalgebra, it needs to be a subspace, and the Lie bracket needs to be closed in it. The kernel is certainly a subspace, because if  $x \in \ker(D)$  and  $y \in \ker(D)$ , then  $D(ax + by) = aD(x) + bD(y) = 0$  so that  $ax + by \in \ker(D)$ . Then, we need to check that for  $x$  and  $y$  as previously, we have  $[xy] \in \ker(D)$ . We calculate  $D([xy]) = [D(x)y] + [xD(y)] = 0 + 0$  hence indeed this holds. So, the kernel is a subalgebra. In order for it to be an ideal, we would need additionally that  $D([xy]) = 0$  for  $x \in \ker(D)$  and for all  $y \in L$ . But we have  $D([xy]) = [D(x)y] + [xD(y)] = [xD(y)] \neq 0$ , hence  $[xy] \notin \ker(D)$ , so *no*, the kernel is not an ideal.
- (iii) The Killing form is  $\kappa(x, y) = \text{Tr}(\text{ad } x \text{ad } y)$ . Consider  $\kappa(x, D(y))$ . Since  $D$  is a derivation, it satisfies  $D([xy]) = [D(x)y] + [xD(y)]$ . Note that

$$\text{ad}(D(y))(z) = [D(y)z] = D([yz]) - [yD(z)] = D(\text{ad } y(z)) - \text{ad } y(D(z)) = [D, \text{ad } y](z).$$

As operators on  $L$ , this equation means  $\text{ad}(D(y)) = [D, \text{ad } y]$ . Hence,  $\kappa(x, D(y)) = \text{Tr}(\text{ad } x [D, \text{ad } y]) = \text{Tr}([\text{ad } x, D] \text{ad } y)$  using cyclicity of the trace. But then,

$$[\text{ad } x, D] = -[D, \text{ad } x] = -\text{ad}(D(x))$$

hence we find  $\kappa(x, D(y)) = -\kappa(D(x), y)$ . Using symmetry of the Killing form, this is  $\kappa(x, D(y)) = -\kappa(y, D(x))$ , which is anti-symmetry of  $\alpha(x, y) = \kappa(x, D(y))$ .